



Research paper

Controlling electronic properties of PtS₂/InSe van der Waals heterostructure via external electric field and vertical strainChuong V. Nguyen^a, H.D. Bui^b, Trinh D. Nguyen^{c,d}, Khang D. Pham^{e,f,*}^a Department of Materials Science and Engineering, Le Quy Don Technical University, Ha Noi, Viet Nam^b Institute of Research and Development, Duy Tan University, Da Nang 550000, Viet Nam^c NTT Hi-Tech Institute, Nguyen Tat Thanh University, Ho Chi Minh City, Viet Nam^d Center of Excellence for Green Energy and Environmental Nanomaterials (CE@GrEEN), Nguyen Tat Thanh University, Ho Chi Minh City, Viet Nam^e Laboratory of Applied Physics, Advanced Institute of Materials Science, Ton Duc Thang University, Ho Chi Minh City, Viet Nam^f Faculty of Applied Sciences, Ton Duc Thang University, Ho Chi Minh City, Viet Nam

HIGHLIGHTS

- Electronic properties of PtS₂/InSe vdWH were investigated.
- PtS₂/InSe vdWH forms a type-II band alignment with an indirect band gap.
- Electronic properties of PtS₂/InSe vdWH can be controlled by strain and electric field.
- These findings suggest attractive potential application for PtS₂/InSe heterostructure as a novel optoelectronic nanodevices.

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ABSTRACT

In this letter, we systematically investigate the electronic properties of the PtS₂/InSe heterostructure using first-principle calculations. At the equilibrium interlayer distance $D = 3.23 \text{ \AA}$, the PtS₂/InSe heterostructure displays a semiconducting character with an indirect band gap. Moreover, it forms a type-II band alignment, making the PtS₂/InSe heterostructure a potential material for efficient separation of photogenerated electron-hole pairs. More interestingly, by applying vertical strain and electric field, the electronic properties of the PtS₂/InSe heterostructure can be effectively controlled, and a semiconductor-to-metal transition even emerges. These findings suggest attractive potential application for PtS₂/InSe heterostructure as a novel optoelectronic nanodevices, along with a potential photocatalyst.

1. Introduction

Since the discovery in 2004, graphene [1] has become one of the materials that has attracted both theoretical and experimental scientists due to its extraordinary physical properties [2–4]. However, the application of graphene to technology, especially in the field of optoelectronic nanodevices, still faces certain difficulties, in which the cause may be due to graphene having zero energy gap [5] and incompatibility between graphene and silicon electronic components. So far, there are many approaches to modulate the electronic states of graphene, i.e. to open a sizable gap around the Fermi level of graphene, that are stacking layers, electric field, doping, functionalization, edge effects [6–13].

In parallel with finding a way to overcome this limitation of graphene, a new research direction has emerged strongly in the last five

years. That is looking for alternative materials. This new research has focused on two-dimensional (2D) materials such as phosphorene [14–23], transition metal dichalcogenides (TMDs) [24–28], hexagonal boron nitride (*h*-BN) [29] and post-transition metal chalcogenides (PTMCs) [30,31], and so on. Unlike graphene, these 2D materials are semiconductors with interesting properties and thus, they become potential candidate for applications in nanotechnology, such as photo-detectors [32,33], field effect transistors (FETs) [32,34]. As a new member of the family of TMDs and PTMCs, 2D PtS₂ [35–37] and InSe [38–40] materials are gaining great attention due to their promising physical and chemical properties, which are favorable for future applications in electronic and optoelectronic devices. It was shown that 2D PtS₂ material is a semiconductor with a layer-dependent indirect band gap, varying from 1.60 eV (1.80 eV) of monolayer to 0.25 eV

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(0.48 eV) of bulk, obtained from experimental measurement (DFT calculation) [35]. Similar to 2D PtS₂ material, single-layer InSe has been synthesized experimentally [38] and its band gap depends strongly on the number of layers. Bulk InSe has a direct band gap, which can also transform to an indirect one of monolayer [41]. These properties of InSe material make it suitable for novel high-performance applications in optoelectronic devices, such as photodetectors and field-effect transistors (FETs) [42,43].

Another method currently being investigated is the creation of van der Waals (vdW) layered heterostructures from different 2D materials, thereby allowing for a better control of the electronic properties of these 2D materials. Layers of 2D materials are stacked to create large electric fields originating from the difference in work function. In addition, experimental and theoretical studies have shown that the major electronic properties of 2D materials are preserved due to the weak vdW interaction between layers in the heterostructures [44–48]. To date, there exists a large number of vdW heterostructures based on different 2D materials, such as graphene/TMDs [46,49–51], C₂N/Sb [52], PbI₂/BN [53], phosphorene/GaN [47], and so on. Very recently, TMDs/PTMCs vdW heterostructures, such as MoS₂/GaSe [54], MoS₂/InSe [55], GeSe/MoS₂ [56] and so on have been subjected to extensive investigations by theory and experiment. Chen et al. investigated the electronic properties of MoS₂/InSe vdW heterostructure. It was shown that such vdW heterostructure forms a type-II band alignment, which can be modulated by applying electric field or by changing the inter-layer distance. To the best of our knowledge, up to now, there is no literature about the electronic properties of PtS₂/InSe vdW heterostructure as well as the effects of strain engineering and electric field on their properties.

Therefore, in this letter, we design a novel vdW heterostructure based on 2D PtS₂ and InSe monolayers and investigate the electronic properties of the PtS₂/InSe vdW heterostructure using first-principle calculations. In addition, the effects of the vertical strain and electric field on the electronic properties of heterostructure have also been considered.

2. Computational details

Our calculations of the geometric optimization and electronic properties were performed using the simulated Quantum Espresso package [57] through density functional theory (DFT). The Perdew-Burke-Ernzerhof (PBE) potential [58] of the generalized gradient approximation (GGA) [59] was used for describe the exchange-correlation energy. In addition, to describe correctly the weak vdW interactions, occurring between the different 2D PtS₂ and InSe layers, the London-dispersion corrected DFT-D2 method [60] was adopted. For the plane wave expansion, the cutoff energy was set to be 500 eV and the convergence thresholds between two steps were chosen as 10⁻⁶ and 10⁻³ eV/Å, respectively, for energy and force. We used a 9 × 9 × 1 Monkhorst–Pack *k*-mesh to optimize the atomic structure and a 6 × 6 × 1 *k*-mesh to calculate the electronic properties of the heterostructure. In addition, in order to avoid any interactions between neighboring slabs we set a large vacuum space of 25 Å along the *z* direction of the heterostructure.

3. Results and discussion

The atomic structure of the PtS₂/InSe HS was built by placing the PtS₂ ML on top of the InSe ML using a supercell, consisting of a (2 × 2) PtS₂ supercell and (√3 × √3) InSe supercell, as displayed in Fig. 1. Our calculated lattice mismatch between the PtS₂ and InSe supercell is very small and less than 2%, which show a little influence on the electronic characteristics of the PtS₂/InSe HS. Then, the geometric structure of the PtS₂/InSe HS was fully relaxed to obtain the equilibrium state with the obtained interlayer distance (*D*) in the PtS₂/InSe HS at the equilibrium state of 3.23 Å. Such distance indicates physical adsorption of the PtS₂

on the InSe layers in the heterostructure and shows a good agreement with that of other heterostructures based on 2D materials, such as MoS₂/SnS₂ [61], InSe/MoS₂ [55], graphene/phosphorene [47], SiGe/h-BN [62], which demonstrating the weak vdW interactions. In addition, we also check the stability of the PtS₂/InSe HS by performing the calculations of the binding energy as follows: $E_b = E_{PtS_2/InSe} - E_{PtS_2} - E_{InSe}$, where $E_{PtS_2/InSe}$, E_{PtS_2} , and E_{InSe} , respectively, are the total energies of the HS, isolated PtS₂ and InSe MLs. The obtained binding energy is -73.14 meV, which has the same order of magnitude as in other vdW heterostructures [63,64]. The more negative E_b , the systems are more stable and thus, could be easier to synthesize in experiments. Our obtained negative value of the E_b demonstrates that the HS is stable at the equilibrium state.

We now turn to evaluate the electronic properties of the PtS₂/InSe HS. As shown in Fig. 2, we can see that the PtS₂/InSe HS displays the semiconducting behavior with an indirect band gap of 1.21 eV at the equilibrium state. In addition, as compared with the band structures of the isolated PtS₂ and InSe MLs, we find that the PtS₂/InSe HS has a type-II band alignment. The lowest conduction band (CB) locates at the *M* point and comes from PtS₂ ML. Whereas, the highest valence band (VB) located at the Γ -*M* path and comes from the InSe ML. The band alignment of the PtS₂/InSe HS is illustrated in Fig. 3(a). The type-II band alignment makes the PtS₂/InSe HS potential material for applications in optoelectronics and photovoltaics, and it can be used as an electron-hole separator under photoexcitation. To have a more detailed understanding of the charge transfer between the PtS₂ and InSe MLs in the PtS₂/InSe HS, we further calculate the difference charge density, which can be calculated as follows: $\Delta\rho = \rho_{HS} - \rho_{PtS_2} - \rho_{InSe}$, where ρ_{HS} , ρ_{PtS_2} , and ρ_{InSe} are the charge densities of the HS, the isolated PtS₂ and InSe MLs, respectively. The difference charge density in the HS is displayed in Fig. 3(b). One can find that charges are depleted on the InSe layer and accumulated on PtS₂ layers.

When the heterostructure is applied to optoelectronic nanodevices, it can subject to electric field (E_{field}), which may cause a change in its electronic properties. Thus, it is interesting to consider whether the effect of an applied E_{field} affects the electronic properties of the PtS₂/InSe HS. The E_{field} is applied vertically along the stacked direction, i.e along the *z* direction, as illustrated in Fig. 4(a). The direction of applied E_{field} , pointing from the PtS₂ to the InSe layer is defined as the positive direction. The changes in the band edge positions (BEP) and the band gap of the PtS₂/InSe HS under different values of E_{field} is displayed in Fig. 4(b). We find that by increasing the E_{field} from -1 V/nm to +1 V/nm, the positions of the CB (Δ_{CB}) decrease dramatically, while the positions of the VB (Δ_{VB}) increases. In addition, the band gap of the PtS₂/InSe HS increases slightly with increasing the E_{field} from -1 V/nm to +1 V/nm. Interestingly, we find that when the applied E_{field} is smaller/larger than -1 V/nm/+1 V/nm, the $\Delta_{VB}/\Delta_{CB} < 0$, resulting in a transition from semiconductor to metal of the PtS₂/InSe HS.

In order to understand in detail the changes of the BEP and the band gap of the PtS₂/InSe HS under applied E_{field} , its band structures under different E_{field} are shown in Fig. 5. We find that the applied E_{field} affects the position of the Fermi level, thus, it results on the BEP of the PtS₂/InSe HS. When the positive E_{field} is subjected, the Fermi level is shifted downwards to the CB of the HS. The Δ_{VB} , thus, increases with increasing the applied positive E_{field} , whereas the Δ_{CB} decreases. More interestingly, under the applied positive E_{field} of +1 V/nm, we find that the CB of the PtS₂/InSe HS shifts upward to the Fermi level and crosses the Fermi level. Thus, the PtS₂/InSe HS displays a metallic trend, i.e a semiconductor-to-metal transition was occurred in the PtS₂/InSe HS when the positive E_{field} of +1 V/nm is subjected. On the contrary, when the negative E_{field} is subjected, it tends to shift the Fermi level towards the VB of the PtS₂/InSe HS. These things lead to an increase in the Δ_{CB} and to a decrease in the Δ_{VB} , as shown in Fig. 4(b). Furthermore, when the negative E_{field} of -1 V/nm is applied, the Δ_{VB} decreases to 0.07 eV. Our results show that the Δ_{VB} continuously decreases and achieves zero with the increasing E_{field} down to -1.2 V/nm. It indicates that a

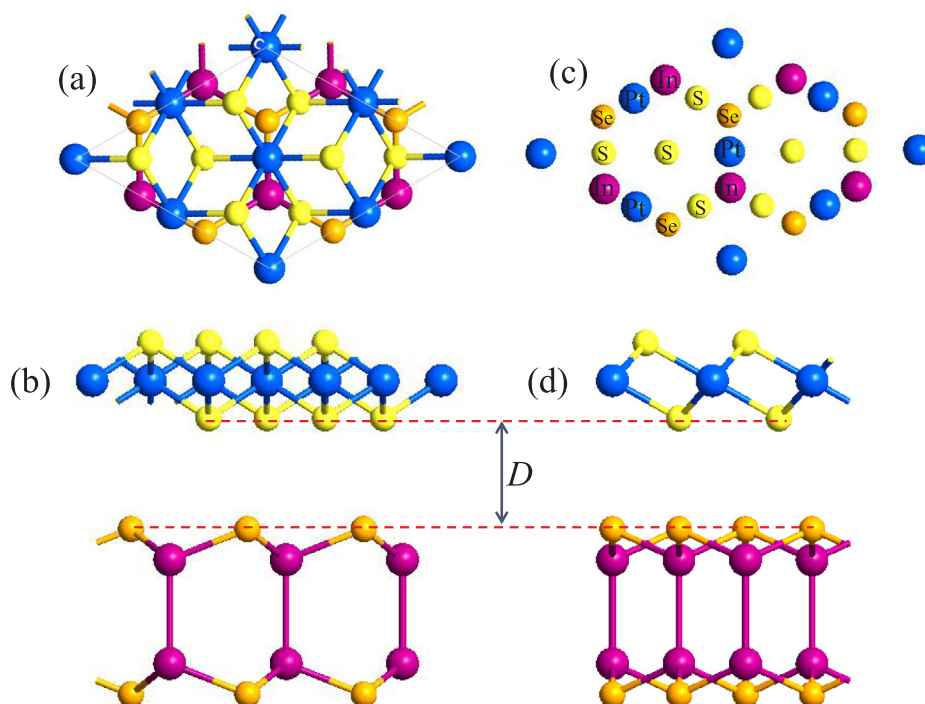


Fig. 1. (a) and (c) Top view, (b) and (d) side view of the atomic structure of the PtS₂/InSe HS.

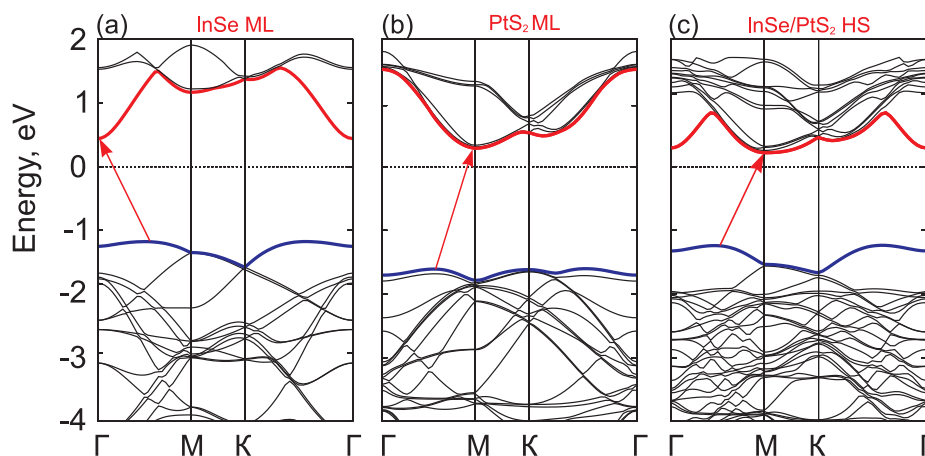


Fig. 2. Calculated band structures of the isolated InSe (a), PtS₂ (b) monolayers and (c) PtS₂/InSe HS.

semiconductor-to-metal transition of the PtS₂/InSe HS is observed when the negative E_{field} of -1.2 V/nm is applied. Also, one can observe that the positive and negative E_{field} affects differently the electronic properties of the PtS₂/InSe HS owing to the spontaneous electric polarization, which may originate from the electronegativity difference between S and Se layers. Thus, the electrons and holes in the PtS₂/InSe HS are localized separately at the S and Se layers, respectively.

Furthermore, the vertical strain by changing the interlayer coupling between two different layered materials in their vdW heterostructures is well known to be an effective method to modulate the electronic properties, which may enhance the electronic device performances [65–68]. Besides, it can be seen that controlling the interlayer spacing D in the vdWHS can be easily performed in experiments by various methods, such as pressure [69], insertion of the dielectric layers [70]. Thus, it is interesting to explore the effect of the vertical strain on the electronic properties of the PtS₂/InSe HS, as illustrated in Fig. 6(a). Fig. 6(b) shows the evolution of the BEP and the band gap size of the PtS₂/InSe HS as functions of the D . One can find that with increasing the D from 2.53 Å to 4.13 Å, the band gap size of the PtS₂/InSe HS

slightly increases from 1.24 eV to 1.45 eV, respectively, as shown in Fig. 6(b). Also, with increasing the D , the position of the VB (Δ_{VB}) decreases, while the position of the CB (Δ_{CB}) increases accordingly, as shown in Fig. 6(b). For instance, when we decreased the D from 4.13 Å to 3.23 Å and then to 2.53 Å, the Δ_{VB} increases from 0.25 eV to 1.10 eV and then to 1.17 eV, respectively, while the Δ_{CB} decreases from 1.19 eV to 0.21 eV and then to 0.04 eV, respectively. Interestingly, our results demonstrate that the semiconductor-to-metal can be achieved in the PtS₂/InSe HS when the D is smaller than 2.53 Å. Such transition plays an important role in the field of optoelectronic nanodevices.

To get further insights into the band structures modulation of the PtS₂/InSe HS, in Fig. 7 we plot its band structures under different D . Under vertical strain, a semiconducting character with an indirect band gap is maintained in the Pt₂/InSe HS. The band gap increases slightly with increasing the D . When the D is reached 4.13 Å, it can be seen from Fig. 7(f) that the CB of such vdWHS is shifted from the M to the Γ point, whereas its VB is still located at the Γ -M path. Moreover, with increasing the D , the Fermi level shifts upwards from the CB to the VB of the PtS₂/InSe HS. It leads to a/an decrease/increase in the Δ_{VB}/Δ_{CB} .

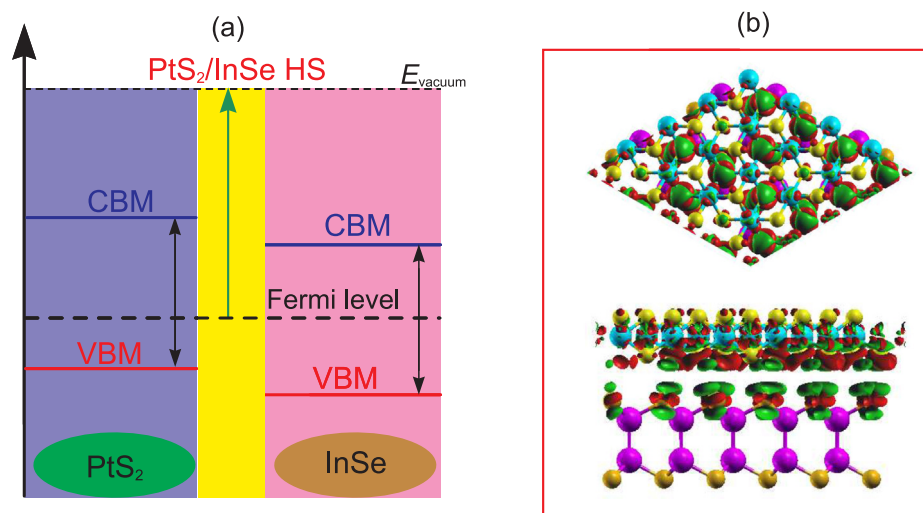


Fig. 3. (a) Band alignment and the difference charge density of PtS₂/InSe HS along the *z* direction. The red and green regions represent electrons accumulation and depletion, respectively. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

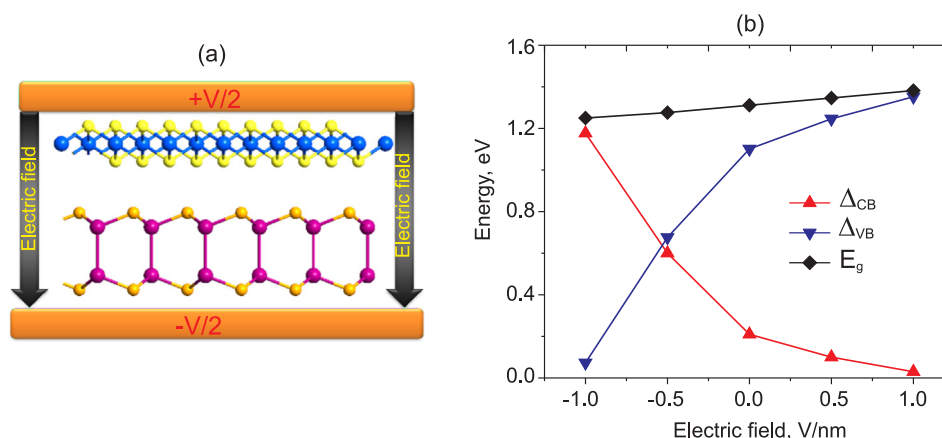


Fig. 4. (a) Schematic model of applied E_{field} . (b) The position of the CB, VB and the band gap of the PtS₂/InSe HS as functions of the E_{field} .

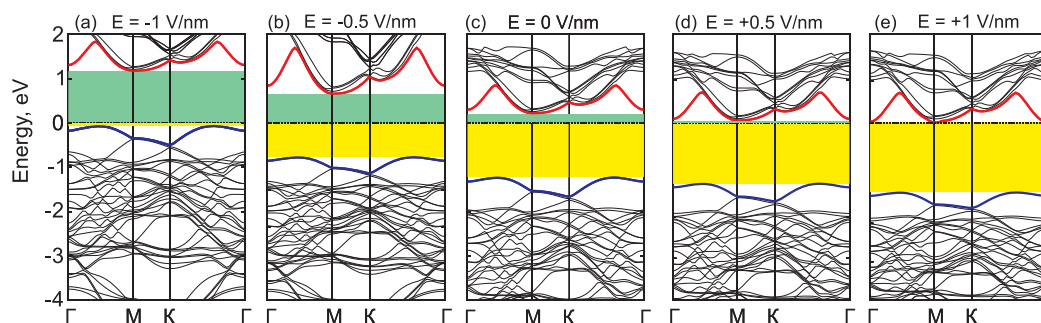


Fig. 5. Band structures of the PtS₂/InSe HS with applied E_{field} of -1 V/nm (a), -0.5 V/nm (b), 0 V/nm (c), $+0.5$ V/nm, (d) $+1$ V/nm.

When the D is smaller than 2.53 \AA , the CB of the vdWHS moves upwards and crosses the Fermi level, showing a semiconductor-to-metal transition. These results suggest attractive potential application for the Pt₂/InSe HS with tunable interlayers as a novel optoelectronic nanodevices, along with a potential photocatalyst. Finally, it should be noted that the PtS₂/InSe vdWH has not yet been synthesized in experiments. However, numerical vertical heterostructures based on MX₂ and MX monolayers, such as MoSe₂/GaSe, MoS₂/GaSe has recently been synthesized in experiments via van der Waals epitaxy [71] or chemical vapor deposition (CVD) method [54]. Thus, we believe that the PtS₂/InSe can be realized by stacking exfoliated, chemical vapor deposition (CVD) or vdW epitaxy in the near future.

4. Conclusions

In summary, we investigated the electronic properties of PtS₂/InSe van der Waals heterostructure under vertical strain and electric field through first-principle calculations. Our results reveals that the weak vdW interactions are dominated in the PtS₂/InSe HS, which has a negative binding energy of -73.14 meV at the equilibrium interlayer distance $D = 2.32 \text{ \AA}$. The PtS₂/InSe HS possesses a semiconducting behavior with a direct band gap of 1.21 eV and forms a type-II band alignment, which may acceptable for efficient separation of photo-generated electron-hole pairs. Moreover, the electronic properties of the PtS₂/InSe HS can be effectively modulated under external electric

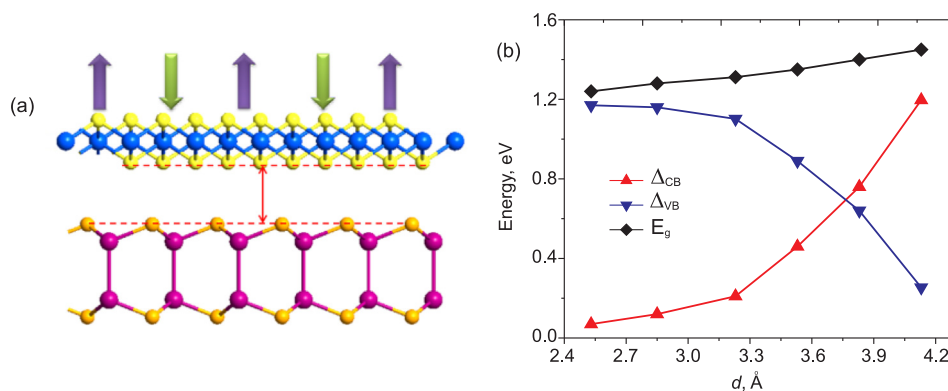


Fig. 6. (a) Schematic model of applied out-of-plane strain. (b) The position of the CB, VB and the band gap of the PtS₂/InSe HS as functions of the D .

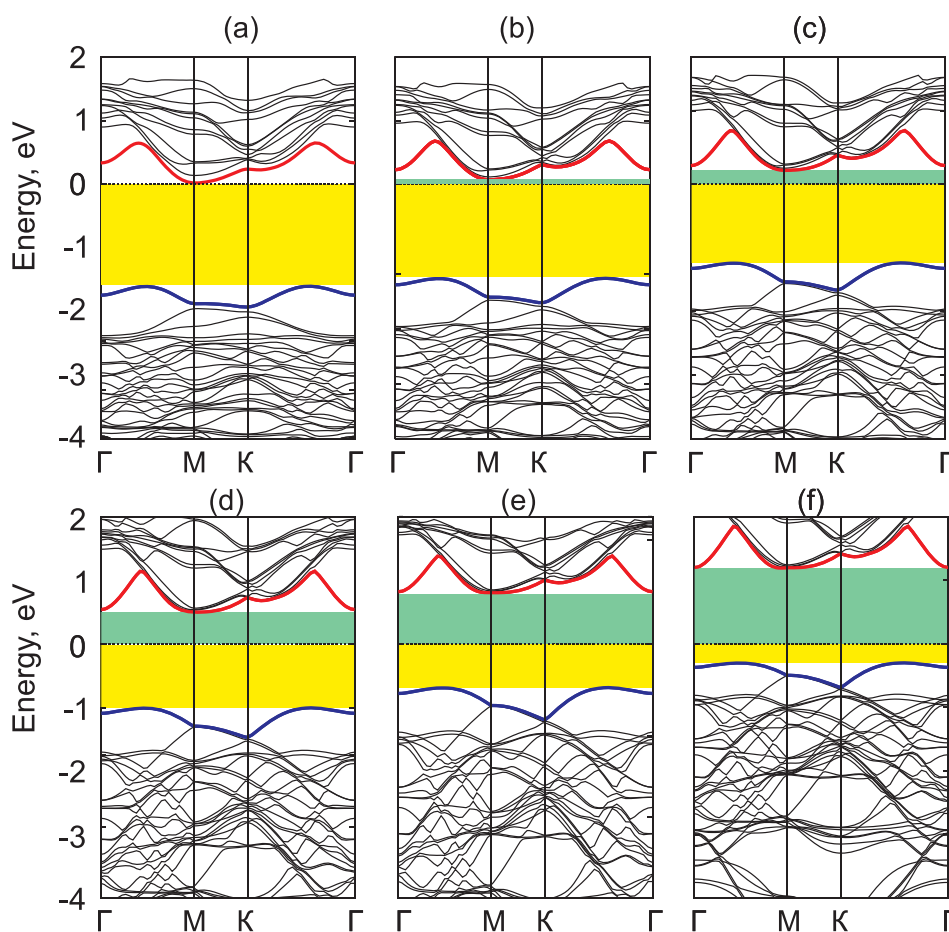


Fig. 7. Band structures of the PtS₂/InSe HS under different D of (a) 2.53 Å, (b) 2.83 Å, (c) 3.23 Å, (d) 3.53 Å, (e) 3.83 Å, and (f) 4.13 Å.

field and vertical strain. When an electric field is applied, such heterostructure emerges a semiconductor-to-metal at the $E_{field} = -1.2$ V/nm. Whereas, when the HS is subjected to the vertical strain, a transition from semiconductor to metal occurs at the $D = 2.50$ Å. These results demonstrate that the PtS₂/InSe HS can become a promising material for applications in next-generation electronic and optoelectronic devices.

Conflict of interest

The authors declared that there is no conflict of interest.

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