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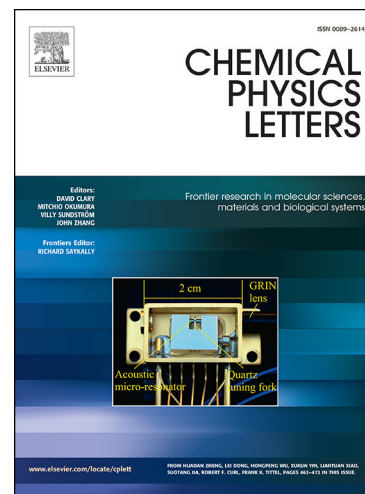
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Vertical strain and electric field tunable electronic properties of type-II band alignment $C_2N/InSe$ van der Waals heterostructure

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Abstract

In this work, we construct the $C_2N/InSe$ heterostructure and investigate its electronic properties as well as the effect of strain and electric field. Our results demonstrate that the

weak van der Waals interactions are dominated in such heterostructure. It forms the type-II band alignment and implies the spatial separation of photogenerated electron-hole pairs. The type-II band alignment can be switched to type-I one and an indirect to direct band gap transition can be achieved by applying the electric field or vertical strain. Our findings demonstrate that the $C_2N/InSe$ heterostructure can be considered to be a good candidate for optoelectronic and nanoelectronic devices.

Key words: C_2N ; InSe; vdW heterostructure; Type-II band alignment; Electric field.

1 Introduction

Fifteen years have passed since the graphene was discovered firstly in 2004 by Geim and Novoselov [1], but there are still many its extraordinary properties and applications that have not been explored thoroughly. Furthermore, the absence of a sizable band gap in graphene hinders its applications for high-performance nano- and optodevices such as field effect transistors (FETs), or logic devices. To date, many approaches have been developed to modify the graphenes' band gap. On the other hand, graphene-like two-dimensional (2D) materials with a similar structure to graphene, such as hexagonal boron nitride [2], phosphorene [3–6], transition metal dichalcogenides (TMDs) [7–9], post-transition metal chalcogenides (PTMCs) [10,11] and others [12,13] have also been received considerable interests for the scientific community due to their promising physical and chemical properties, which are acceptable for applications in next-generation nanodevices. For instance, based on 2D MoS_2 material, Radisavljevic *et al.* [7] demonstrated a FET

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with a high mobility and current on/off ratio at room temperature. Hu *et al.* [11] fabricated successfully a photodetector based on an ultrathin GaSe, showing a high responsivity and high external quantum efficiency. Among these diverse 2D materials, one of the PTMCs materials called InSe monolayer has recently been synthesized successfully and has great potential for next-generation nanodevices [10,14]. Tamalampudi *et al.* showed that photodetectors based on InSe material possess a high photoresponse of 12 AW^{-1} . Feng *et al.* [15] demonstrated that a high field effect mobility up to $1000 \text{ cm}^2/\text{Vs}$ at the room temperature can be achieved in the InSe-based FET. Moreover, the band gap of InSe crystal can be controlled by changing the number of layers due to quantum confinement [16] or by applying strains or electric field [17].

More recently, a novel kind 2D material, called graphene-like nitrogenated holey C_2N monolayer has been synthesized successfully by Mahmood and his group [18]. To date, there are numerous theoretical and experimental studies have focused on the physical properties of C_2N monolayer, which is suitable for nanodevices [19–24]. Similarly to graphene, the C_2N monolayer shows sp^2 hybridization characters, but it is a semiconductor with a direct band gap of about 2 eV at Γ -point [18]. From first principles calculations, Guan *et al.* [19] showed that the electronic and optical properties of the C_2N monolayer can be tuned effectively by applying uniaxial and biaxial strains. Their results demonstrated that this material can sustain a large strain 12 % and has a small stiffness constant. Owing to these mentioned unique properties and a high on/off ratio of 10^7 in the FET [18], the C_2N monolayer can be great potential for optoelectronic and electronic devices, such as FETs.

In parallel with the efforts on single 2D materials, 2D van der Waals heterostructures by constructing different single 2D materials have been attached intensive attention and open up an opportunity for designing and creating high-performance

nanodevices. To date, there have been already numerous experimental and theoretical efforts to investigate the advantages of the 2D vdW heterostructures. Examples are graphene-based vdW heterostructures [25–37], C_2N/XSe_2 ($X = Mo, W$) [38], $InSe/MoS_2$ [39], and SiC/MX_2 ($M = Mo, W; X = S, Se$) [40,41]. These studies have demonstrated that 2D vdW heterostructures show many promising properties, which may not hold for the single-layered materials. For example, Pham et al. [42] have demonstrated that the intrinsic electronic properties of the single-layered graphene and GaSe monolayer preserves in the graphene/GaSe heterostructure owing to the weak vdW interactions, which are not enough to modify the electronic properties of the isolated graphene and GaSe monolayer. In addition, a tiny band gap was opened at the Dirac point of graphene, making it suitable for the high-performance application. Li et al. [40] showed that the SiC/MoS_2 heterostructure forms a type-II band alignment, which is required for optodevices. Moreover, by applying a biaxial strain, the type-II band alignment in the SiC/MoS_2 can also be tuned and switched to type-III band alignment, improving the electronic performance of nanodevices based on such heterostructure.

Therefore, in this letter, based on the first-principles calculations from density functional theory (DFT), we design an ultrathin $C_2N/InSe$ vdW heterostructure and investigate its electronic properties as well as the effects of vertical strain and electric field. Our results demonstrate that the $C_2N/InSe$ heterostructure forms a type-II band alignment with an indirect band gap of 1.34 eV and implies the spatial separation of photogenerated electron-hole pairs. In addition, the band gap of the heterostructure can be tuned effectively by applying vertical strain and electric field.

2 Computational model and methods

In this letter, we perform the structural optimization and calculate the electronic properties of systems using the DFT method within the projector augmented wave (PAW) pseudopotentials [43], which is implemented in the Quantum Espresso open-source simulation package [44]. To better describe the exchange-correlation energy in the considered materials, the generalized gradient approximation (GGA) [45] by choosing the Perdew-Burke-Ernzerhof (PBE) [46] parametrization was adopted for all calculations. Moreover, in this work, in order to expand the wave functions, we use a plane-wave basic set with the kinetic cut-off energy of 510 eV. In all calculations, the convergence of the total energy was set to less than 10^{-5} eV. A Gaussian smearing with a smearing width of $\sigma = 0.001$ eV is applied. In addition, it should be noted that the traditional DFT methods are unable to describe correctly the vdW interactions, existing in layered 2D vdW heterostructures. Thus, to solve this issue, we chose to opt the DFT-D2 scheme proposed by Grimme [47]. In order to confirm that the DFT-D2 method is sufficient to describe the vdW heterostructures, we also performed the Tkatchenko-Scheffler DFT-TS method [48] for comparison. A large vacuum thickness of 25 Å was applied to avoid any interactions between two neighbor layers.

3 Results and discussion

The lattice parameters of single-layered C_2N and InSe are optimized and illustrated in Fig. 1(a) and Fig. 1(b), respectively. The obtained lattice parameters of C_2N and InSe monolayers are 8.33 Å and 4.06 Å, respectively. These values are inconsistent with the previous experimental and theoretical reports [18,20,49]. Therefore, to construct the $C_2N/InSe$ heterostructure with a small lattice mismatch,

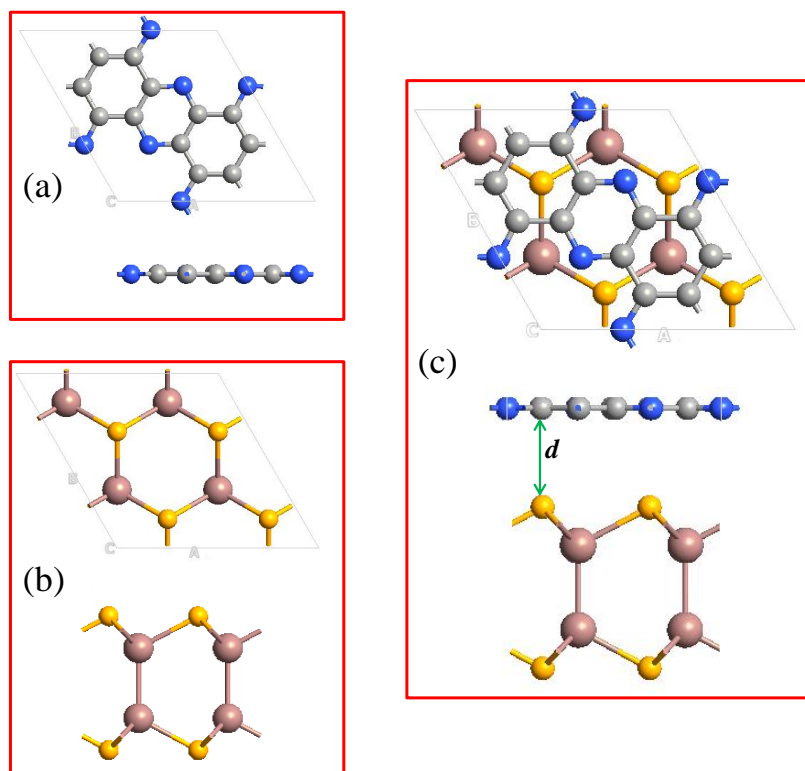


Fig. 1. Top view and side view of the optimized atomic structure of single layered C₂N (a), InSe (b) monolayers and their C₂N/InSe heterostructure (c), respectively.

we use a (2×2) supercell of InSe monolayer and a unit cell of C₂N monolayer. The lattice mismatch between the C₂N and InSe monolayer is small of 2.5 %. The atomic structure of the C₂N/InSe heterostructure is displayed in Fig. 1(c). After geometric optimization, the obtained interlayer distance between the C₂N and InSe monolayers in the heterostructure is 3.325 Å, calculated by DFT-D2 method and 3.471 Å, calculated by DFT-TS method. This distance indicates that the bonding between the C₂N and InSe monolayers in heterostructure is mainly characterized by the physical mechanism. Moreover, this distance is the same as that in other heterostructures, dominated by the weak vdW interactions, such as C₂N/Sb [50], borophene/C₂N [51]. All these findings illustrate that in the C₂N/InSe heterostructure, the weak vdW interactions are dominated between the C₂N and InSe monolayers. Additionally, to establish the stability of the heterostructure, we further calcu-

late its binding energy (E_b) as follows: $E_b = E_H - E_{C_2N} - E_{InSe}$, where E_H , E_{C_2N} and E_{InSe} are the total energies of heterostructure, isolated C_2N , and InSe monolayers, respectively. Our obtained binding energy of the $C_2N/InSe$ heterostructure is -0.71 eV and -0.98 eV, respectively, calculated by DFT-D2 and DFT-TS methods. The negative value of the binding energy indicates that the formed heterostructure is stable structure. It can be seen that the DFT-TS method tends to yield large interlayer distance and binding energy than the DFT-D2 method does. However, we find that the values of the interlayer distance as well as the binding energy of the $C_2N/InSe$ heterostructure obtained from DFT-D2 and DFT-TS methods are very similar, indicating that the DFT-D2 and the Tkatchenko-Scheffler DFT-TS methods yield very good estimate of the interlayer spacing and the binding energy in the $C_2N/InSe$ vdW heterostructure. Thus, in the following, we investigate the electronic properties of the $C_2N/InSe$ heterostructure by using only DFT-D2 method.

We next explore in detail the electronic properties of the $C_2N/InSe$ heterostructure as compared with those of the isolated C_2N and InSe monolayers. The band structures of the isolated C_2N , InSe monolayers and the heterostructure are displayed in Fig. 2(a-c), respectively. One can see from Fig. 2(a) that the monolayer C_2N is a direct semiconductor. The calculated band gap of the monolayer C_2N is 1.66 eV, forming between the conduction band minimum (CBM) and valence band maximum (VBM) at the Dirac Γ point of the Brillouin zone. These results are in good agreement with the available reports [18,21]. Whereas, monolayer InSe is an indirect semiconductor with the CBM at the Γ point and the VBM at the Γ -M path. Our calculated band gap of the monolayer InSe is 1.54 eV, which is in good agreement with other calculations [52,53]. The band structure of the $C_2N/InSe$ heterostructure is illustrated in Fig. 2(c). It can be found that the $C_2N/InSe$ heterostructure is a semiconductor with an indirect band gap of 1.34 eV, which is smaller than that of both C_2N and InSe monolayers. It indicates that electrons can be excited

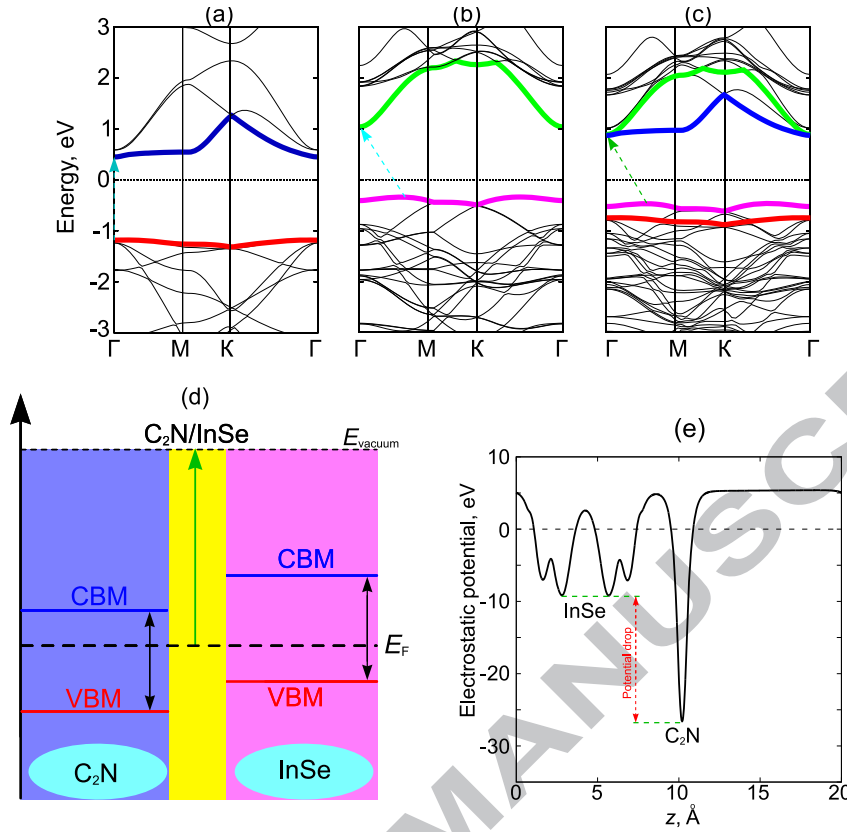


Fig. 2. Band structures of the single-layered C_2N (a), InSe (b) and $C_2N/InSe$ heterostructure (c). The Fermi level is set to be zero. Red and blue lines stand for the VBM and CBM of the C_2N , whereas violet and green stand for the VBM and CBM of the InSe in the heterostructure. Schematic representation of the type-II band alignment (d) and the electrostatic potential (e) of the $C_2N/InSe$ heterostructure.

easily from the VBM to CBM in the heterostructure when a visible light irradiation is applied. In addition, it can be seen that the band structure of the heterostructure is well kept upon contact owing to their vdW bonding. Furthermore, by analyzing the band structure of the heterostructure, one can find that its CBM at M point comes from the C_2N monolayer, whereas its VBM at $M - \Gamma$ path comes from the InSe monolayer. It indicates that the $C_2N/InSe$ heterostructure forms a type-II band alignment with the electrons and holes in the InSe and C_2N monolayers.

In Fig. 2(d) we present the band alignment of the $C_2N/InSe$ heterostructure to

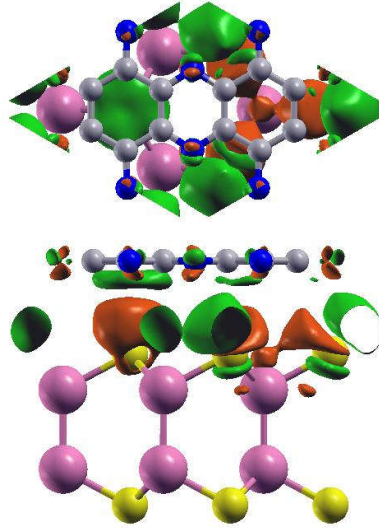


Fig. 3. (a) Top view and (b) side view of the charge density difference in the $C_2N/InSe$ heterostructure. The red and green color isosurfaces correspond to the accumulation and depletion of electrons.

understand deeply its carrier separation. It is clear that the CBM and VBM of the C_2N part in the heterostructure are lower than those of the $InSe$ part. It confirms an observation of type-II band alignment in the $C_2N/InSe$ heterostructure and implies the spatial separation of photogenerated electron-hole pairs. Fig. 2(d) shows the electrostatic potential of the $C_2N/InSe$ heterostructure. One can observe that the C_2N monolayer has a deeper potential than that of the $InSe$ monolayer, resulting in a strong electrostatic potential at the interface. A large potential drop between the C_2N and $InSe$ monolayers in the heterostructure leads to the charge transfer from the C_2N to the $InSe$ monolayers due to a built-in electric field, which can reduce the recombination of photogenerated electron-hole pairs.

Furthermore, in order to have a deeper understanding for the vdW interaction between the C_2N and the $InSe$ monolayers in the heterostructure, we further calculate the charge density difference of the $C_2N/InSe$ heterostructure, as shown in Fig. 3. The charge density difference of the heterostructure can be visualized as $\Delta\rho = \rho_{C_2N/InSe} - \rho_{C_2N} - \rho_{InSe}$, where $\rho_{C_2N/InSe}$, ρ_{C_2N} , and ρ_{InSe} are, respec-

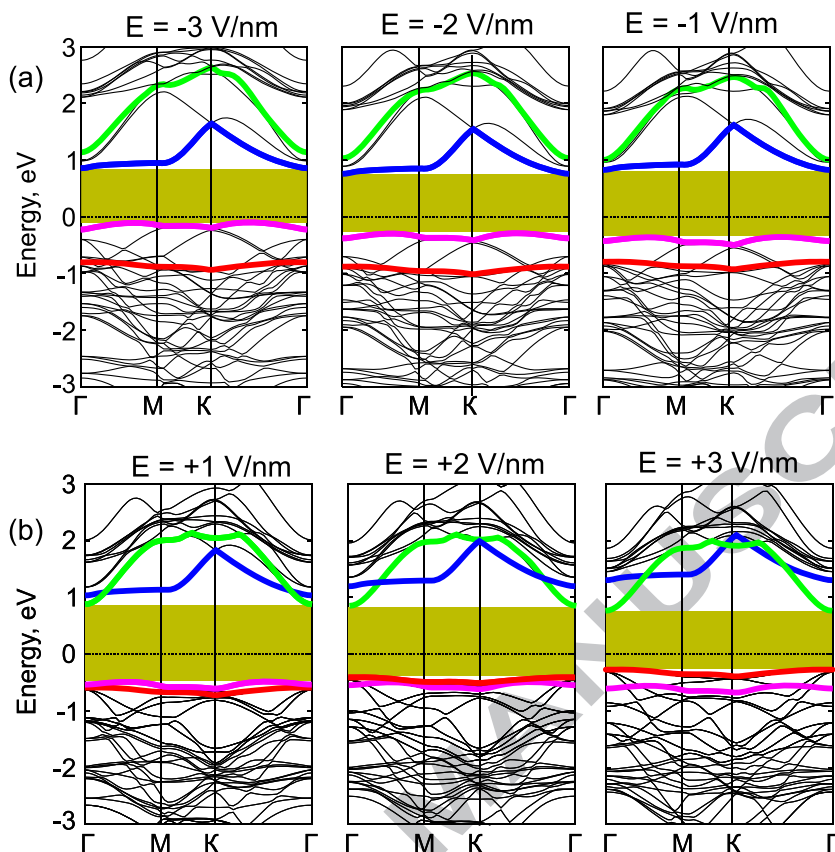


Fig. 4. Band structures of the $C_2N/InSe$ heterostructure under negative (a) and positive (b) electric field. The Fermi level is set to be zero.

tively, the charge energies of the $C_2N/InSe$ heterostructure, the C_2N and the $InSe$ monolayers. It is obvious that the charges are redistributed in the region between the C_2N and $InSe$ monolayer, forming the vdW gap. In addition, we find that the charges are more accumulated in the topmost Se layer of the $InSe$ monolayer, and depleted in the C_2 layer. The Bader charge analysis indicates that the charge of only $0.018e$ is transferred from the C_2N layer to the $InSe$ one. It also demonstrates the weak vdW interactions in the heterostructure.

We further investigate whether the electronic properties of the $C_2N/InSe$ heterostructure can be controlled by applying the electric field or by changing the interlayer distance. Here, we first consider the effect of the electric field on the electronic properties of the heterostructure. The electric field is applied perpendic-

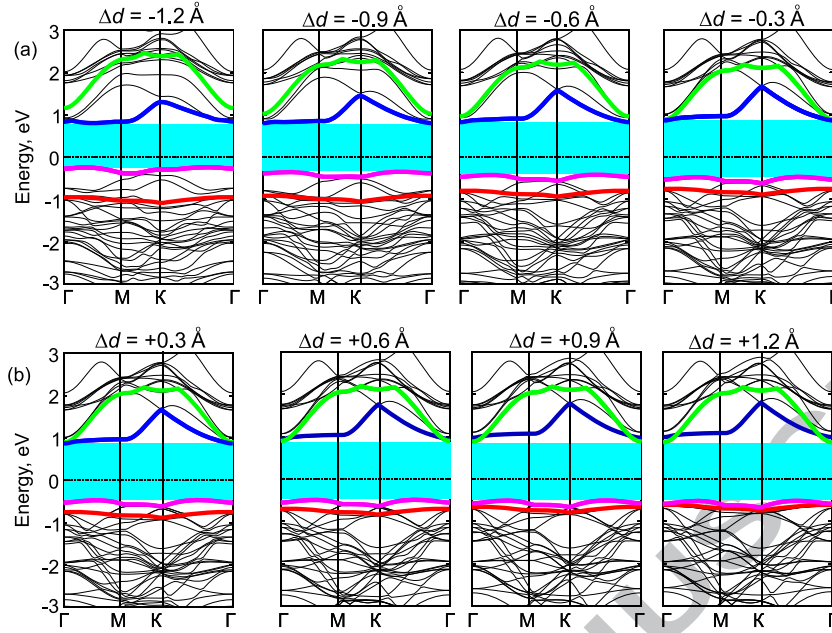


Fig. 5. Band structures of the $C_2N/InSe$ heterostructure under compressive (a) and tensile (b) out-of-plane strains. The Fermi level is set to be zero.

ularly to the heterostructure surface. The positive electric field points from the C_2N layer to the InSe monolayer in the heterostructure. In Fig. 4, we show the band structures of the $C_2N/InSe$ heterostructure under a different electric field. It can be seen that by applying the negative electric field the CBM at the Γ point and the VBM at the Γ -M path of the InSe monolayer move upward, whereas the CBM and the VBM of the C_2N monolayer at the Γ point move downward. The band gap of the heterostructure, therefore, reduces with increasing the strength of the negative electric field. It is obvious that the VBM of the C_2N is slightly higher than that of the InSe, but the CBM of the InSe is also higher than that of the C_2N . It indicates that the $C_2N/InSe$ heterostructure still keeps the type-II band alignment when the negative electric field is applied. As is illustrated in Fig. 4(d-f), we find that by applying the positive electric field, the CBM at the Γ point and the VBM at the Γ -M path of the InSe move downward, while the CBM and the VBM at the Γ point of the C_2N move upward. When the strength of the positive electric field is less than

+1 V/nm, the CBM of the InSe is slightly lower than that of the C₂N, whereas the VBM of the InSe is higher than that of the C₂N. The C₂N/InSe heterostructure, in this case, forms the type-I band alignment. Thus, when the strength of the positive electric field is less than +1 V/nm, a transition of the band alignment from the type-II to the type-I is observed in the C₂N/InSe heterostructure. When the strength of the positive electric field increases and exceeds +2 V/nm, the VBM at the Γ point of the C₂N moves upward and becomes higher than at Γ -M path of the InSe. The C₂N/InSe heterostructure, in this case, switches from the type-I to the type-II band alignment. In addition, we find that the band gap of the C₂N/InSe heterostructure is switched from indirect to direct when the positive electric field is larger than +2 V/nm.

We now consider the impact of the vertical strain by changing the interlayer distance between the C₂N and InSe monolayers in the heterostructure. The change in the interlayer distance is defined as $\Delta d = d_0 - d$, where d_0 and d are the strained and equilibrium interlayer distances, respectively. It should be noted that the interlayer distance in vdW heterostructures could be controlled effectively in experiments by applying pressure with a scanning tunnelling microscopy tip [54], or by inserting dielectric BN layers into the vdW heterostructure [55], or by vacuum thermal annealing [56]. For instance, Tongay *et al.* [56] demonstrated that the interlayer distance in TMDs heterostructure could be tuned from noncoupling to strong coupling by applying vacuum thermal annealing. On the other hand, Fang *et al.* [55] showed that the interlayer distance in TMDs heterostructures could be increased by the insertion of the BN dielectric layer. These findings indicate that the interlayer distance in the C₂N/InSe heterostructure can also be controlled by similar experimental approaches. The band structures of the C₂N/InSe heterostructure under different compressive and tensile vertical strains are displayed in Fig. 5. One can observe from Fig. 5(a-c) that by applying the compressive vertical strain, i.e

$\Delta d < 0$, the CBM and VBM of the InSe monolayer slightly shift upward, whereas the CBM and VBM of the C_2N shift downward. It leads to a decrease in a band gap of the heterostructure. However, it can be seen that by decreasing the interlayer distance, there is no occurrence of a transition of the type-II band alignment. The $C_2N/InSe$ heterostructure still keeps the type-II band alignment. By applying the tensile strain, i.e. $\Delta d > 0$ as shown in Fig. 5(d-f), we can observe that the CBM at the Γ point and the VBM at the $\Gamma - M$ path of the InSe monolayer move downward, whereas the CBM and VBM at the Γ point of the C_2N monolayer move upward. When Δd is larger than $+0.6 \text{ \AA}$, the CBM at the Γ point of the InSe monolayer is slightly lower than that of the C_2N at the same point, whereas the VBM at the $\Gamma - M$ path of the InSe is higher than that of the C_2N at the Γ point, resulting in a transition from the type-II to type-I band alignment. Thus, we can see that that changing the interlayer distance between the C_2N and InSe monolayers in the $C_2N/InSe$ heterostructure could lead to a transition from the type-II to the type-I band alignment. All these findings demonstrate that the $C_2N/InSe$ heterostructure can be considered to be a good candidate for applications in optoelectronic and nanoelectronic devices.

4 Conclusion

In summary, in this work, we have comprehensively investigated the electronic properties of the $C_2N/InSe$ heterostructure using DFT method. Due to the weak vdW interactions, the electronic properties of single-layered C_2N and InSe monolayer are found to be well preserved in their heterostructure. At the equilibrium interlayer distance of 3.325 \AA and without a presence of electric field, the $C_2N/InSe$ heterostructure possesses a type-II band alignment with an indirect band gap of 1.34 eV . Furthermore, the transition from type-II to type-I band alignment

and indirect to direct band gap can be achieved in such heterostructure by applying the electric field or by changing the interlayer distance. Our findings demonstrate that the $C_2N/InSe$ heterostructure can be considered to be a good candidate for applications in optoelectronic and nanoelectronic devices.

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HIGHLIGHTS

- Electronic properties of the $C_2N/InSe$ heterostructure have investigated for the first time.
- The $C_2N/InSe$ heterostructure possesses a type-II band alignment with an indirect band gap of 1.34 eV.
- The transition from type-II to type-I band alignment and indirect to direct band gap can be achieved by applying the electric field or strain.
- The $C_2N/InSe$ heterostructure can be considered to be a good candidate for optoelectronic and nanoelectronic devices.

