

23 **Abstract:** Seawater and brackish water desalination has been a practical approach to
24 mitigating the global fresh water scarcity. Current large-scale desalination installations
25 worldwide can complementarily augment the global fresh water supplies, and their capacities
26 are steadily increasing year-on-year. Despite substantial technological advance, desalination
27 processes are deemed energy-intensive and considerable sources of CO₂ emission, leading to
28 the urgent need for innovative low carbon desalination platforms. This paper provides a
29 comprehensive review on innovations in membrane processes and membrane materials for
30 low carbon desalination. In this paper, working principles, intrinsic attributes, technical
31 challenges, and recent advances in membrane materials of the membrane-based desalination
32 processes, exclusively including commercialised reverse osmosis (RO) and emerging forward
33 osmosis (FO), membrane distillation (MD), electrodialysis (ED), and capacitive deionisation
34 (CDI), are thoroughly analysed to shed light on the prospect of low carbon desalination.

35 **Keywords:** low carbon desalination; membrane-based desalination; reverse osmosis (RO);
36 forward osmosis (FO); membrane distillation (MD); electrodialysis (ED); capacitive
37 deionisation (CDI).

38 1. Introduction

39 Desalination has become a practical approach to augmenting fresh water supplies in many
40 water-stressed areas around the world [1]. According to the International Desalination
41 Association, desalination plants worldwide can provide more than 86.8 million cubic meters
42 of desalinated water per day to meet the daily fresh water demand of more than 300 million
43 people [2]. The global desalination capacity is increasing at a steadfast pace and is expected to
44 double by 2030 given huge financial investments [3]. The global desalination market had been
45 long time dominated by conventional thermal distillation processes such as multi-stage flash
46 (MSF) and multi-effect distillation (MED). However, in recent decades membrane-based
47 separation processes, particularly reverse osmosis (RO), have become the leading desalination
48 technology and are preferable to the conventional thermal distillation for new and projected
49 desalination installations [1, 4, 5]. Compared to conventional thermal distillation, the
50 membrane-based processes are by far more energy efficient. For example, the energy demand
51 of the seawater RO process has approached closely to the theoretical minimum energy
52 demand (i.e. 0.77 kW h/m^3) and is approximately ten-folds lower than that of the conventional
53 thermal distillation processes [6].

54 The substantial growth of desalination has inevitably led to mounting environmental
55 concerns regarding to greenhouse-gas emission. Despite being the most energy efficient, the
56 seawater RO desalination process exhibits a carbon footprint of 2.562 kg CO_2 per one cubic
57 meter of fresh water product [7]. Given the current global desalination capacity of 86.8
58 million cubic meters of fresh water product per day, the annual carbon footprint of all
59 desalination installations worldwide is 79 Mt CO_2 , with a potential growth of 10 to 15% per
60 annum [4]. In this context, low carbon desalination processes are urgently needed to sustain
61 the growth of desalination to meet increasing global fresh water demand while reducing
62 desalination carbon footprint to reach the global CO_2 emission target set in the Paris
63 Agreement on climate change in 2015 [8].

64 This paper aims at providing a comprehensive review of innovative desalination
65 membrane processes and membrane materials **with respects to energy consumption and hence**
66 **carbon footprint reduction**. The desalination membrane-based processes discussed in this
67 review paper include maturely commercialised RO and other emerging processes such as
68 forward osmosis (FO), membrane distillation (MD), electrodialysis (ED), and capacitive

69 deionisation (CDI). Working principles, intrinsic attributes, and technical challenges with
70 respect to energy efficiency and decarbonisation of each process are thoroughly analysed and
71 discussed.

72 **2. Reverse osmosis**

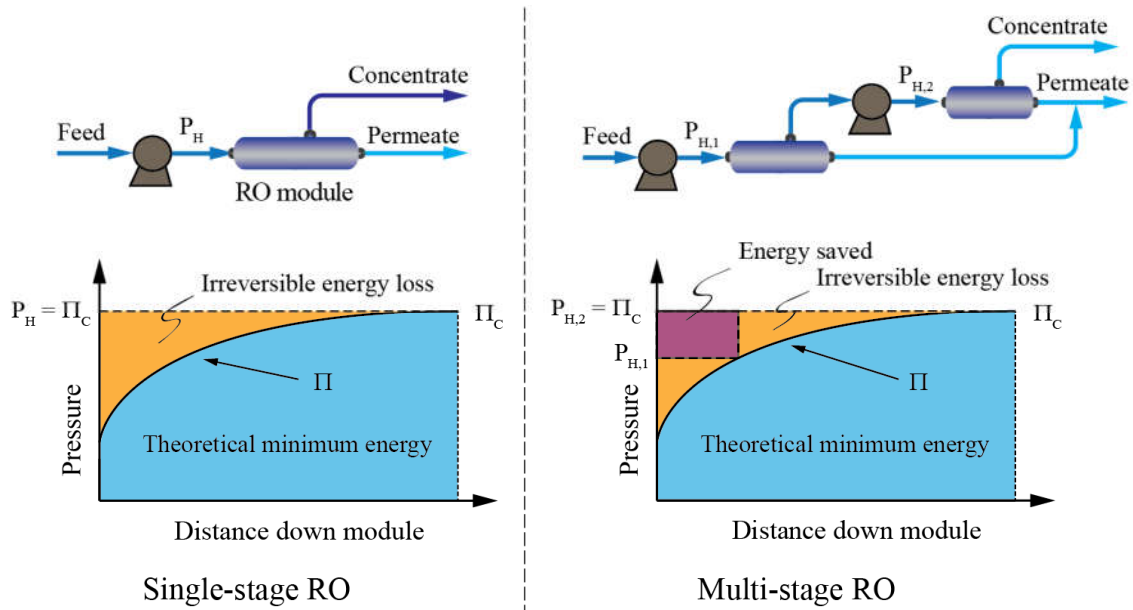
73 In reverse osmosis (RO) desalination, desalinated water is extracted from a saline solution
74 using a semi-permeable membrane that selectively favours the permeation of water. Energy is
75 required to push water through the membrane against the effect of the osmotic pressure
76 gradient between the saline feed and the permeate streams. The theoretical minimum energy
77 demand for the RO process of seawater at water recovery of 50% is 1.06 kWh/m³ [1].
78 However, the actual energy consumption of seawater RO desalination exceeds this minimum
79 value because a hydrostatic pressure much higher than the osmotic pressure of seawater is
80 required to obtain a desired process water flux. Pre-treatment of the feed water and post-
81 treatment of the permeate further increase the energy consumption of RO processes compared
82 to the theoretical minimum value.

83 Recent technological advancements in membrane materials and energy recovery devices
84 have led to a significant reduction in energy consumption of the RO process. Currently, a
85 state-of-the-art seawater RO process can achieve an energy consumption from 3.0 to 3.5
86 kWh/m³ [4]. Of this total energy consumption, the RO step consumes 2.2 kWh/m³, and 0.3
87 kWh/m³ is for the pre-treatment step using ultra-filtration (UF) [9]. Therefore, strategies for
88 energy consumption reduction, and hence for increased decarbonisation, of RO desalination
89 mainly focus on reducing the energy consumption of the RO and the pre-treatment steps.

90 The energy consumption of the RO step can be reduced by increasing membrane water
91 permeability. According to Cohen-Tanugi et al. [10], energy consumption of seawater RO can
92 decrease by 20% when the membrane water permeability increases three folds. Thus, ultra-
93 permeable membranes using Aquaporin, carbon nanotubes, and graphene materials have been
94 explored and demonstrated for RO desalination [11-13]. In the RO process using these ultra-
95 permeable membranes, water transports through the membrane under a different mechanism
96 compared to traditional membranes. Water channels in the ultra-permeable membranes
97 facilitate the transport of water molecules while not compromising the rejection of dissolved
98 salts, giving the ultra-permeable membranes a much higher water permeability but a similar

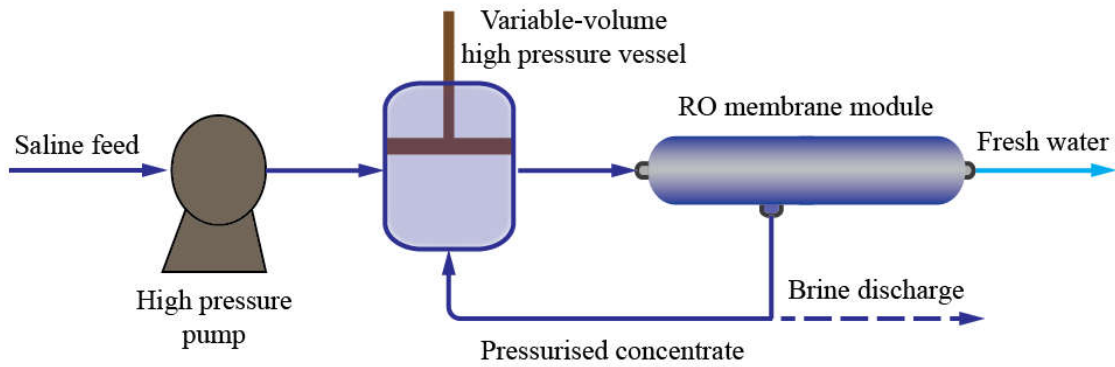
99 salt removal compared to traditional RO membranes [11-13]. Increased membrane water
100 permeability allows for the RO desalination operation at a lower applied pressure while
101 obtaining the same process water flux, thus decreasing the process specific energy
102 consumption [1].

103 Process optimisation has also been approached to reduce the energy consumption and
104 hence to decarbonise fresh water production of RO desalination. One strategy to reduce RO
105 energy consumption is multi-staging the RO process. As demonstrated in Fig. 1, in a single-
106 stage RO process, a minimum hydrostatic pressure (P_H) equal to the osmotic pressure of the
107 concentrate at the outlet of the RO module (Π_C) is applied. Along the membrane module from
108 the inlet, P_H is higher than the local osmotic pressure (Π) of the concentrate. The difference
109 between P_H and local Π causes the irreversible energy loss. In a multi-stage RO process, more
110 high-pressure pumps are used between RO membrane stages, and the applied pressure of each
111 stage increases with the order of the stage. This allows the applied pressure of each stage to
112 approach closer to the local Π . Thus, operating the RO process in multi-stage helps reduce the
113 irreversible energy loss and allows the RO process to approach the theoretical minimum
114 energy consumption [1, 14, 15]. In other words, the seawater RO desalination process with
115 infinite stages at water recovery of 50% can achieve the theoretical minimum energy
116 consumption of 1.06 kWh/m³. Nevertheless, multi-staging the RO process also leads to
117 increase in investment and operational costs as more high-pressure pumps and maintenance
118 are required.



119
 120 **Fig. 1.** Schematic diagrams and energy saving of a single-stage and a multi-stage RO process
 121 (adapted from [1]).

122 The energy consumption of RO desalination can be reduced by operating the process in
 123 closed circuit or semi-batch mode [16, 17]. In closed circuit or semi-batch RO process, saline
 124 feed water is continuously pumped into a **variable-volume high pressure** vessel connected
 125 with spiral-wound RO membranes (Fig. 2). Fresh water is collected at the outlets of the
 126 membrane modules while the pressurised concentrate is circulated back to the pressure vessel
 127 to mix with the feed water. The residual pressure of the concentrate is reused to pressurise the
 128 feed water, **hence reducing the applied pressure on the feed water**. The pressure of the mixed
 129 feed water in the pressure vessel is increased overtime with the increase in the osmotic
 130 pressure of the **mixed feed**. When a desired water recovery has been achieved, the
 131 concentrated mixed feed water (i.e. brine) is discharged and replaced by fresh water feed
 132 before starting the next operation cycle. Simulation results have demonstrated that semi-batch
 133 and closed circuit operation can reduce energy consumption of a brackish water RO
 134 desalination process by 64% [16].



135
136

Fig. 2. Schematic diagram of a close circuited RO process.

137 Membrane fouling is an intrinsic technical issue for RO desalination. Fouling leads to
 138 decline in the process water flux or increase in the applied pressure, inevitably increasing the
 139 specific energy consumption of the RO process. Various methods have been explored to
 140 mitigate and control membrane fouling during the RO desalination process, of which pre-
 141 treatment of the feed water is a prerequisite. Conventionally, media filters, low pressure UF,
 142 and probably dissolved air flotation (DAF) are incorporated before RO membrane modules to
 143 pre-treat the feed water. This pre-treatment train has proven capable of effectively removing
 144 turbidity and assimilable organic carbon (AOC), thus providing quality feed water to the RO
 145 membrane modules. However, this pre-treatment step (particularly UF) still contributes 0.3
 146 kWh/m³ to the total energy consumption of the RO process. Practising subsurface intakes (e.g.
 147 using beach wells and galleries for pre-treatment) can help reduce the energy consumption for
 148 pre-treatment and hence for the overall process of seawater RO desalination [18]. Geological
 149 properties of beach wells and galleries retain and provide biological removal of organic matter,
 150 suspended sediments, and dissolved organic compounds, thus offering a cost-effective and
 151 energy saving pre-treatment prior to the RO membranes [18]. Nevertheless, this pre-treatment
 152 method is limited to feed waters with low a membrane fouling propensity.

153 A novel approach to reducing energy consumption of pre-treatment in RO desalination is
 154 to deploy gravity driven membranes (GDM) [19-21]. In a GDM pre-treatment system, feed
 155 water is dead-end filtered through UF membrane under a hydrostatic pressure regenerated by
 156 a water head, obviating the need for a high-pressure pump as required in normal UF operation.
 157 A beneficial biofilm consisting eukaryotic organisms formed on the UF membrane surface
 158 biodegrades and hence effectively removes rejected organic particles and colloids from the
 159 feed water, leading to a lower fouling potential in the subsequent RO process. The beneficial
 160 biofilm also helps stabilise the water flux of the UF membrane without the need for backwash

161 or chemical cleaning. As a result, the pre-treatment energy consumption of seawater feed
162 using GDM could be markedly reduced to 0.01 kWh/m³ compared to 0.3 kWh/m³ for a
163 normal UF pre-treatment [4]. Though, GDM pre-treatment was not able to reduce dissolved
164 organic carbon content in the pre-filtered water, hence a submerged GDM system combined
165 with carrier biofilm processes was proposed for a more effective pre-treatment before the RO
166 desalination process [21].

167 In addition to reducing energy consumption, low carbon RO desalination can be achieved
168 by coupling RO with renewable energy sources such as solar, wind, and geothermal energies
169 [4, 5, 22-24]. Powered by renewable energy, RO desalination plants can approach to zero-
170 carbon emission as they can minimise the consumption of electrical energy sourced from
171 fossil fuel. Indeed, wind farms have been built beside RO desalination plants in Australia to
172 achieve carbon offset of fresh water production from seawater. However, the intermittent
173 nature of renewable energy sources requires effective energy storage methods to prevent the
174 frequent shutdowns of the RO desalination plants. Amongst the proposed energy storage
175 methods, grid-scale storage based on the concept of pumped hydro and osmotic battery are
176 particularly of interest. More details about these energy storage strategies can be found
177 elsewhere [4, 25].

178 **3. Forward osmosis**

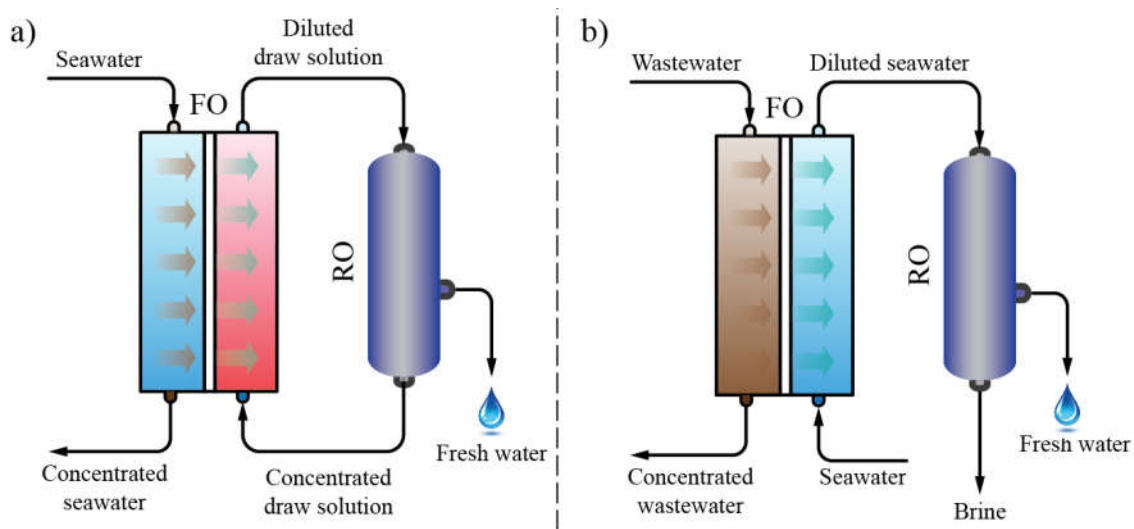
179 Forward osmosis (FO) is an osmotically driven membrane process that has a number of
180 inherent advantages for providing low carbon desalination. The significant energy benefits of
181 FO rely on the natural osmotic pressure gradient created between the feed (source water) and
182 draw solution (osmotic agent). This salinity gradient provides the driving force for water
183 transport across the semi-permeable membrane, theoretically without any external energy
184 input. The FO process also exhibits a low fouling propensity, high contaminant rejection, and
185 can operate at high osmotic pressure driving forces, beyond the limits of RO [26]. Thus, FO is
186 strongly suited for complex source waters that have a high fouling potential or high salinity
187 which would otherwise not be compatible with RO treatment. Despite these advantages, an
188 additional desalination process is required to separate fresh water from the diluted draw solute
189 following the FO process. This fresh water extraction step can be achieved using thermal or

190 membrane separation processes and is responsible for the majority of energy consumed in a
191 hybrid FO process.

192 The most energetically favourable configuration is when FO is used as a standalone
193 desalination process in which fresh water extracted by the FO membrane is used to dilute a
194 draw solution for beneficial uses. The only energy requirement is the electricity to drive the
195 water circulation pumps to minimise external concentration polarisation and membrane
196 fouling [27]. Despite the potential for low carbon desalination, standalone FO applications
197 have only been realised in niche areas, including fertiliser drawn [28] and sugar drawn
198 brackish water desalination for emergency drinking relief [29]. In these applications,
199 spontaneous water permeation from the saline water feed through the membrane dilutes the
200 draw solution to provide a beneficial product, negating the need for high retention draw solute
201 separation [30]. Researchers have demonstrated the potential of fertiliser drawn FO, however
202 integration with nano-filtration (NF) is required to further dilute the draw solution and meet
203 fertigation standards [28]. Nevertheless, the fertiliser drawn FO-NF process was found to
204 consume 21% less energy than a UF-RO system [31]. Alternative osmotic dilution
205 applications involve algae dewatering using seawater or RO brines, however fresh water is
206 lost during the process [32]. The task of finding suitable draw solutions with high osmotic
207 pressures for beneficial applications remains a major challenge for the practical adoption of
208 standalone FO desalination.

209 Apart from those standalone applications discussed above, FO must be coupled with an
210 additional separation process to achieve complete water treatment and desalination. In other
211 words, FO is considered as a pre-treatment step for other desalination processes such as RO,
212 which can separate the draw solute and produce fresh water. Combined hybrid FO processes
213 have gained attention because of the low fouling potential and superior pre-treatment that FO
214 provides at relatively low energy. Nevertheless, because of the extensive energy requirement
215 to separate the high osmotic pressure draw solutions, strategic selection of the source water,
216 draw solute, and regeneration process is needed to achieve energy-savings. For example, an
217 FO-RO hybrid system for seawater desalination (Fig. 3a) can never consume less energy than
218 direct RO at the same recovery. Detailed equations for energy calculation of the FO-RO
219 hybrid and the single RO desalination process can be found elsewhere [26]. Since the draw
220 solution osmotic pressure must be greater than seawater, the minimum energy required for RO
221 desalination is always higher for a hybrid FO-RO system. Strategically integrating wastewater

222 treatment and seawater desalination (Fig. 3b) has been proposed to reduce the specific energy
 223 consumption of RO [33, 34]. Using wastewater as the feed solution to dilute the seawater
 224 draw solution has resulted in lower costs compared to conventional seawater desalination with
 225 RO, mostly due to the reduced RO operating pressure [35]. To illustrate, the estimated
 226 specific energy consumption for a low pressure FO-RO system ranges between 1.3 and 1.5
 227 kWh/m³, which is significantly less than the conventional RO process (i.e. 2.2 kWh/m³) [36].
 228 Despite this potential, FO membrane fouling, low water flux and issues regarding system
 229 scale-up remain significant challenges for full-scale implementation of FO hybrid systems.

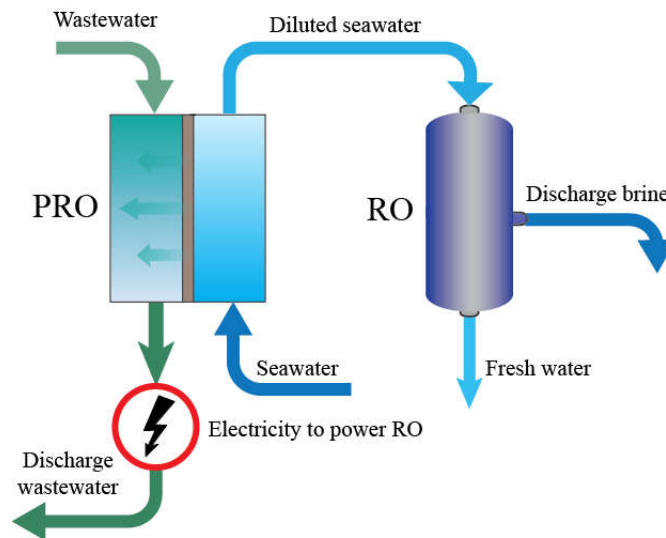


230
 231 **Fig. 3.** FO-RO hybrid systems for (a) seawater desalination, and (b) simultaneous wastewater
 232 treatment and seawater desalination [33].

233 Another notable approach to improve the energy consumption of hybrid FO systems is to
 234 adopt draw solute regeneration processes that utilise thermal energy instead of electrical
 235 energy [37]. For example, thermally responsive draw solutes such as ammonia carbon dioxide
 236 (NH₃/CO₂) are easily regenerated using low grade heat, by converting the ammonium salts
 237 into ammonia and carbon dioxide gas [38]. Pilot-scale demonstrations for shale gas produced
 238 water using a NH₃/CO₂ FO process had a specific thermal energy consumption of
 239 approximately 275 kWh_{th}/m³, which is significantly lower than the 633 kWh_{th}/m³ required for
 240 conventional evaporative desalination methods [39]. Similarly, combining FO with MD is
 241 another option to achieve energy savings by utilising low grade heat or solar thermal energy
 242 sources. As discussed in the section 4, MD has exceptional salt rejection and is not limited by
 243 osmotic pressure, as compared with pressure driven processes. Because MD might be prone to
 244 fouling, FO can provide pre-treatment to reduce organic fouling and inorganic scaling in MD,

245 as shown by successful demonstrations in treating challenging solutions such as municipal
246 and dairy wastewater [40, 41], activated sludge [42] and landfill leachate [43]. It is
247 noteworthy that the benefits of FO in regard to treating high fouling potential and highly
248 saline solutions cannot be accurately captured by energy analysis since these complex
249 solutions are often incompatible with conventional desalination processes [26].

250 A related process with potential to complement low carbon desalination is pressure
251 retarded osmosis (PRO). This emerging technology is based on the same principal as FO,
252 however the salinity gradient energy is harvested via enclosing the draw solution and
253 capturing the mechanical energy created by the increasing draw solution volume [44]. Hydro
254 turbines or energy recovery devices are used to convert this mechanical energy to electricity
255 [to power a RO desalination process](#). PRO feasibility strongly depends on the magnitude of
256 available salinity gradients since a number of energy inputs (i.e. pumping and pre-treatment)
257 are required to effectively operate the process. Interest in incorporating PRO with RO
258 desalination plants ([Fig. 4](#)) has shown theoretical reductions in energy consumption when
259 impaired water sources are available, however a number of practical considerations are yet to
260 be addressed as discussed elsewhere [45].



261 **Fig. 4.** Schematic diagram of an integrated PRO-RO process for low carbon desalination.
262

263 4. Membrane distillation

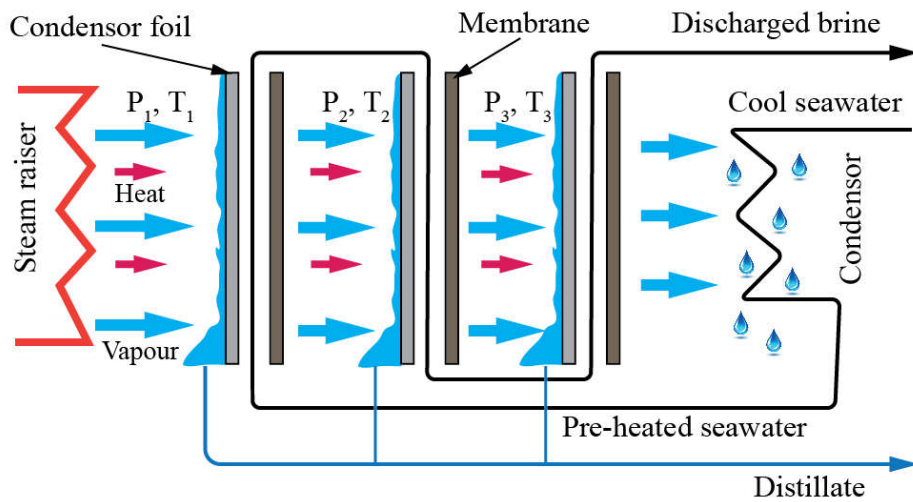
264 Membrane distillation (MD), a thermally driven membrane separation process, embodies
265 several attributes ideal for low carbon desalination. [The MD desalination process utilises a](#)

266 hydrophobic microporous membrane to separate a hot saline feed and a cold fresh distillate
267 and the temperature difference between two sides of the membrane as the process driving
268 force. Thermal energy is the primary energy input into the MD desalination process [46, 47],
269 and the MD process can be efficiently operated at mild feed temperature (i.e. 40–80 °C),
270 allowing for the deployment of waste heat or solar thermal to power the process. Thus, where
271 these low-grade energy sources are available, MD can be an attractive energy-saving and low
272 carbon desalination technology platform. Moreover, as a thermally driven separation method,
273 the MD process is negligibly subject the osmotic pressure of the feed solution and hence
274 compatible with highly saline solutions, extending its applications for desalination of brines
275 from RO and other desalination processes. In addition, since the MD process does not involve
276 a high hydrostatic pressure, it is significantly less prone to membrane fouling, thus obviating
277 the need for intensive feed water pre-treatment like in RO.

278 MD configurations strongly affect the energy consumption of the process. In practice, MD
279 can be operated in four basic configurations, including direct contact membrane distillation
280 (DCMD), air gap membrane distillation (AGMD), vacuum membrane distillation (VMD), and
281 sweeping gas membrane distillation (SGMD). Amongst these configurations, DCMD exhibits
282 the lowest process thermal efficiency because the hot feed and the cold distillate streams are
283 separated by only a thin membrane in DCMD, leading to a noticeable conduction heat loss
284 through the membrane. The deployment of vacuum and sweeping gas on the permeate side of
285 the membrane in VMD and SGMD helps alleviate the conduction heat loss, and hence
286 improving their thermal efficiency compared to DCMD. Similarly, in AGMD, an air gap is
287 inserted between the feed and distillate streams to mitigate the conduction heat loss, and in
288 tandem facilitate the recovery of the condensation latent heat. Thus, AGMD can achieve a
289 much higher thermal efficiency than DCMD.

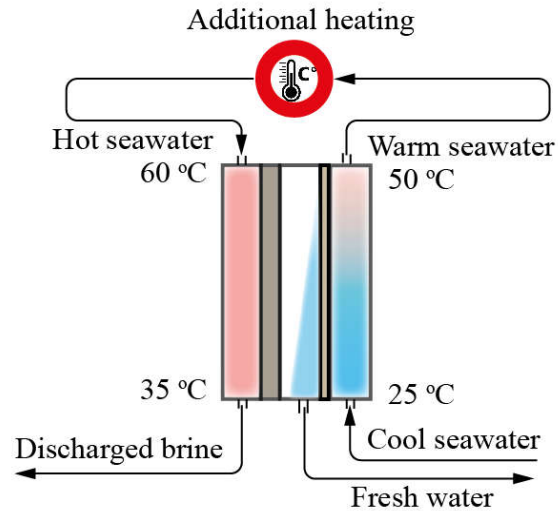
290 Many attempts have been made to improve thermal efficiency and to reduce the thermal
291 energy consumption of the MD desalination process. A notable example is the combination of
292 multi-effect with vacuum in a novel MD configuration termed vacuum-multi-effect MD (V-
293 MEMD), which has been commercialised by Memsys [48]. In this configuration, the feed
294 water into a stage functions as the coolant to recover the condensation latent heat in the
295 previous stage, and varying vacuum is applied in stages to increase water flux and reduce the
296 conduction heat loss (Fig. 5). Thus, V-MEMD demonstrates a remarkably improved thermal
297 efficiency compared to the basic MD configurations. A pilot V- MEMD could achieve

298 thermal efficiency of 90% (i.e. equivalent to 10% heat loss) and a specific thermal energy
299 consumption of 144.5 kWh/m³ [49].



300
301 **Fig. 5.** Recovery of condensation latent heat for improved energy efficiency in the seawater
302 V-MEMD desalination process (adapted from [48]).

303 The recovery of the condensation latent heat to reduce the process thermal energy
304 consumption can be also obtained with the pilot or large-scale AGMD process. The saline
305 feed water can be circulated through the coolant channel to act as a coolant (Fig. 6). Given the
306 long coolant channel, the feed water is sufficiently preheated by the condensation latent heat.
307 The preheated feed water then can be additionally heated by an external heat source to reach a
308 desired temperature prior to entering the feed channel of the AGMD membrane module (Fig.
309 6). Duong et al. [47] optimised a pilot seawater AGMD process with internal latent heat
310 recovery. The authors highlighted the importance of process optimisation to enhance energy
311 efficiency and hence to reduce the specific energy consumption of the process. The feed inlet
312 temperature and water circulation rate were critical operating parameters profoundly affecting
313 the process distillate production and thermal efficiency. Operating the AGMD process at high
314 feed inlet temperature and low water circulation rate was beneficial regarding to the process
315 energy efficiency. At the optimum operating conditions, the AGMD process achieved specific
316 thermal and electrical energy consumption of 90 and 0.13 kWh/m³, respectively [47].



317
 318 **Fig. 6.** A seawater AGMD desalination process with internal condensation latent heat
 319 recovery.

320 Unlike in AGMD, the recovery of latent heat in DCMD can only be viable when using an
 321 external heat exchanger to recover latent heat accumulated in the distillate stream to preheat
 322 the feed stream [50]. In the DCMD process combined with an external heat exchanger, the
 323 process energy consumption is strongly influenced by the relative flow rate between the feed
 324 and the distillate streams and the surface areas of the heat exchanger and the membrane
 325 module. Lin et al. [50] reported that the DCMD process could obtain a minimum specific
 326 thermal energy consumption of 8 kWh/m³ with infinite heat exchanger and membrane module
 327 surfaces at a critical relative flow rate. However, it is worth noting that it is unpractical to use
 328 the DCMD process with infinite heat exchanger and membrane module surfaces.

329 Another approach to reducing energy consumption of the DCMD process is to recover the
 330 sensible heat of the brine stream by brine recycling. In the DCMD process, particularly for the
 331 small-scale system with short membrane channels, the warm brine leaving the membrane
 332 module contains a considerable amount of sensible heat. Brine recycling enables the recovery
 333 of the brine sensible heat, thus leading to reduction in the process thermal energy
 334 consumption. Indeed, Duong et al. [51] demonstrated that recycling brine in a small-scale
 335 DCMD process helped reduce the process specific thermal energy consumption by more than
 336 half. Recycling brine also facilitated the utilisation of the membrane surface area to increase
 337 the process water recovery. Along with other operating parameters, the water recovery of the
 338 seawater DCMD desalination process with brine recycling determined the process energy

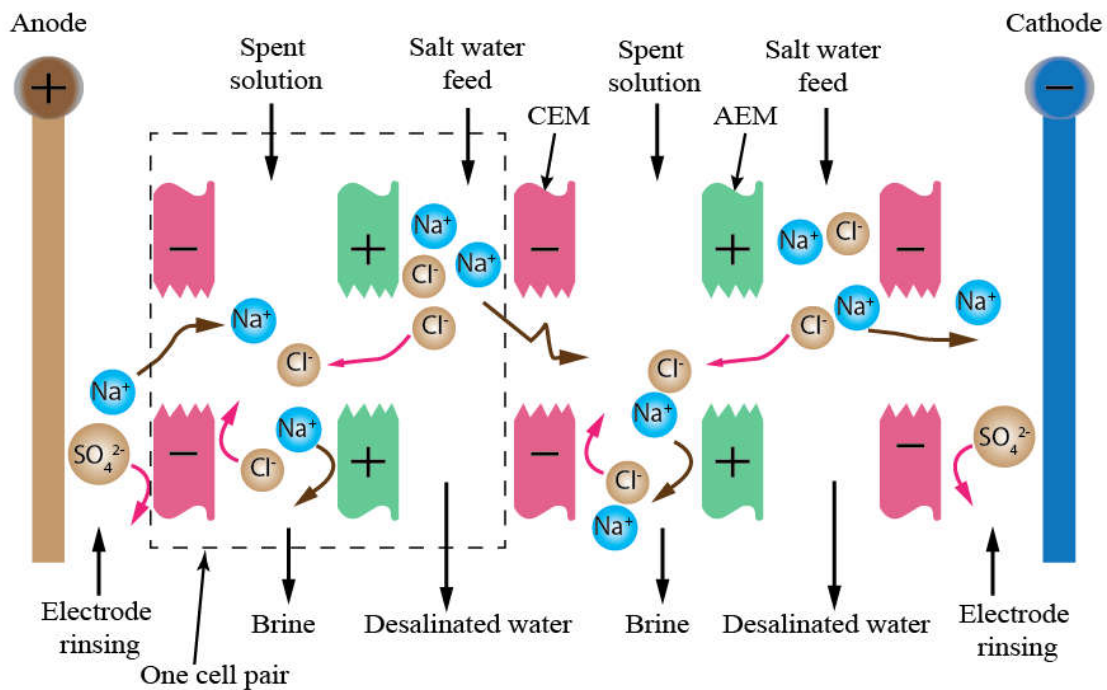
339 consumption, and the optimal water recovery with respect to energy consumption was in the
340 range from 20 to 60% [51].

341 Coupling MD with waste heat and renewable energy is a practical approach to low carbon
342 desalination. The MD process powered by industrial waste heat and solar thermal energy has
343 been successfully demonstrated for fresh water provision [49, 52-57]. A notable example can
344 be the DCMD process supplied with waste heat from a gas fired power station to reclaim fresh
345 water from saline demineralisation regeneration waste [53]. The process was trialled for over
346 three months, and a high-quality distillate with total dissolved salts rejection of 99.9% was
347 obtained [53]. A fully solar powered MD system was also deployed for potable water
348 provision in arid remote areas [56]. The system mainly consisted of a V-MEMD membrane
349 module, a solar-thermal collector, and a solar-PV panel. The engineered design of the system
350 rendered it a portable, reliable, environmentally friendly, and sustainable desalination
351 technology [56].

352 High resistance to membrane fouling is a noticeable advantage of MD for low carbon
353 desalination applications. Most of the demonstrated MD processes for desalination
354 applications involved a negligible feed water pre-treatment. Feed water to the MD process
355 was either raw or pre-filtered (i.e. using paper filters or cartridge filters) seawater. When the
356 MD process was operated at low water recoveries, membrane fouling was mostly not evident
357 even for extended operation (i.e. for several months) [53, 54]. Membrane scaling caused by
358 the precipitation of inorganic sparingly soluble salts only occurred when the MD process was
359 pushed beyond their saturation limits. The scale layers formed on the membrane surface
360 limited the active membrane surface for water evaporation, aggravated the temperature and
361 concentration polarisation effects, and altered the membrane surface hydrophobicity, thus
362 reducing the process water flux and deteriorating the quality of the obtained distillate.
363 However, the scale formation in the MD process could be effectively controlled by regulating
364 the process operating parameters [58] or rinsed out using non-toxic domestic cleaning agents
365 [59]. The high resistance to membrane fouling and scaling actually enables the MD process
366 for treatment of brines from other desalination processes such as RO, ED, FO, and CDI.

367 **5. Electrodialysis**

368 Electrodesialysis (ED) is an electrically driven membrane separation process in which cation
 369 exchange membranes (CEMs) and anion exchange membranes (AEMs) are used to facilitate
 370 the selective transport of cations and anions through the membranes. In ED units, CEMs and
 371 AEMs are placed alternatively between the anode and the cathode (Fig. 7). When an electric
 372 field is applied, cations migrate through CEMs toward the anode, while anions move through
 373 AEMs toward the cathode, leading to the depletion of salt concentration in the desalinated
 374 water and the salt enrichment in the brine.



375 **Fig. 7.** Working principles of an ED process for desalination application.
 376

377 In the ED process, electricity is consumed to generate the electric field between the
 378 electrodes and to drive pumps for water circulation. The electricity consumed by the
 379 electrodes (P_{el}) is the primary energy consumption of the ED process, and can be calculated as
 380 [60]:

381
$$P_{el} = n\Delta VI \tag{1}$$

382 where n is the number of ED cell pairs, ΔV is the voltage drop over the cell pair, and I is the
 383 electric current. Thus, the specific energy consumption (SEC) of the ED desalination process
 384 can be expressed as [60]:

$$385 \quad SEC = \frac{n\Delta V I}{Q_D} \quad (2)$$

386 where Q_D is the dilute flow rate (m^3). The voltage drop over the cell pair is expressed as:

$$387 \quad \Delta V = \eta_{non-Ohm} + r_{Ohm} I \quad (3)$$

388 where $\eta_{non-Ohm}$ is the non-Ohmic voltage drop and r_{Ohm} is the overall Ohmic resistance of the
 389 cell pair. The non-Ohmic voltage drop depends on salt concentrations and the hydrodynamics
 390 of the concentrate and the dilute compartments, and it becomes significant when the salt
 391 concentration gradient between the concentrate and the dilute compartments increases. The
 392 overall Ohmic resistance is composed of membrane resistances and the resistances of the
 393 dilute and concentrate compartments. It has been proved that overall Ohmic resistance is
 394 inversely proportional to the salt concentrations in the dilute and concentrate departments [60].

395 For the ED desalination process, the dilute flow rate is dependent on the transport rate of
 396 ions through the ion exchange membranes. A higher dilute flow rate can be achieved with an
 397 elevated ions transport rate. The flux of an ion (J_i) through the ED membranes can be
 398 expressed as [60]:

$$399 \quad \vec{J}_i = -D_i \vec{\nabla} C_i + \frac{t_i i}{z_i F} \quad (4)$$

400 where D is the electrolyte diffusion coefficient of the ion, ∇C_i is the ion concentration
 401 gradient, t_i is the migration transport number, i is the current density, z_i is the valence of the
 402 ion, and F is Faraday's constant.

403 Eqs. (1-4) demonstrate a profound influence of the feed water salinity on the specific
 404 energy consumption of the ED process. Increasing feed salinity results in not only a higher
 405 salt concentration gradient between the dilute and the concentrate compartments (∇C_i) but
 406 also a decreased current density (i) due to the concentration polarisation effect, hindering the

407 transport of ions through the membranes. Increasing feed salinity also magnifies the non-
408 Ohmic voltage drop over the cell pair ($\eta_{non-Ohm}$), hence raising the energy consumption of the
409 ED process. For low salinity desalination applications, the ED process is more energy
410 efficient than RO. Indeed, an ED process with feed water salinity ≤ 2500 ppm exhibits a
411 specific energy consumption from 0.7 to 2.5 kWh/m³ [6, 23]. However, the energy
412 consumption of the ED process considerably exceeds that of RO when treating feed waters
413 with salinity above 5000 ppm. As a result, ED is largely applied for desalination of brackish
414 water with limited salinity [6, 60].

415 Membrane fouling is another issue that affects the energy consumption of the ED process
416 for desalination applications [60-62]. There is a consensus that ED is less subject to
417 membrane fouling than RO; however, membrane fouling is still considered one of the limiting
418 factors of the ED desalination process [60]. In the ED process, under the electric field,
419 negatively charged colloidal particles ubiquitous in seawater or brackish are pushed toward
420 the anode. The ion exchange membranes act as barriers and stop the colloidal particles
421 migration, leading to the deposition of colloids on the membrane surface. The deposited
422 colloids layers reduce membrane ion selectivity but increase membrane resistance and the
423 pressure drop along the compartments, thus significantly increasing the energy consumption
424 of the ED process. Sparingly soluble salts (e.g. CaCO₃ and CaSO₄) in seawater or brackish
425 water also pose a risk of membrane scaling, particularly for the ED process operated at a high
426 recovery rate. Common methods to prevent membrane fouling and scaling include feed water
427 pre-treatment using MF and UF, pH adjustment, reduction of recovery rate, and membrane
428 cleaning [60]. It is worth noting that applying these methods inevitably results in an increased
429 in the energy consumption of the ED process.

430 Attempts to mitigate membrane fouling propensity and hence the energy consumption of
431 the ED process focus on membrane surface modification and process optimisation. Notable
432 examples for the membrane surface modification approach include the studies of Mulyati et al.
433 [61] and Vasselbehagh et al. [62]. In these studies, the AEMs surface was modified by adding
434 high molecular mass surfactants (e.g. poly sodium 4-styrene sulfonate and polydopamine) to
435 enhance the negative surface charge density, hydrophilicity, and roughness of the AEMs. The
436 surface-modified AEMs exhibited a higher antifouling potential and an increased membrane
437 stability compared to the pristine ones.

438 The development of the electrodialysis reversal (EDR) concept made a breakthrough in
439 membrane fouling mitigation and energy consumption reduction of the ED desalination
440 process [60, 63]. During an EDR desalination operation, the polarity of the electrodes and the
441 diluate and concentrate channels are regularly reversed to facilitate the periodic removal of
442 colloids and organic matter from the membrane surfaces. The foulants detached from the
443 membrane surfaces are subsequently rinsed out of the ED cells by the flowing solutions.
444 Given this self-cleaning mechanism, the EDR process exhibits a significantly reduced
445 membrane fouling tendency compared to the ED process. The EDR concept also helps
446 minimise feed water pre-treatment and membrane cleaning procedures, obviating the need for
447 additional equipment such as acids tanks, complexing agent tanks, dosing pumps and pH
448 controllers [60]. Thus, the EDR concept leads to a significant reduction in the energy
449 consumption of the ED desalination process.

450 **6. Capacitive deionisation**

451 The capacitive deionisation (CDI) process purifies water using the electrostatic adsorption
452 and desorption capacity of conductive porous electrodes. The CDI desalination process
453 involves two alternate steps: purification of salt water and regeneration of the electrodes (Fig.
454 8) [64-66]. During the purification step, as salt water travels along the CDI cell, ions or
455 charged molecules migrate toward and subsequently are adsorbed by the oppositely charged
456 electrodes, leading to the depletion of salt concentrations in the salt water feed and the
457 attainment of desalinated water. During the electrodes regeneration step, the polarity of the
458 electrodes is reversed, and the charged ions and molecules that have been attached to the
459 electrodes in the purification step are desorbed from the electrodes and migrate back to the
460 salt water. Thus, the adsorption capacity of the electrodes is regenerated, and a brine stream is
461 produced at the outlet of the CDI cell.

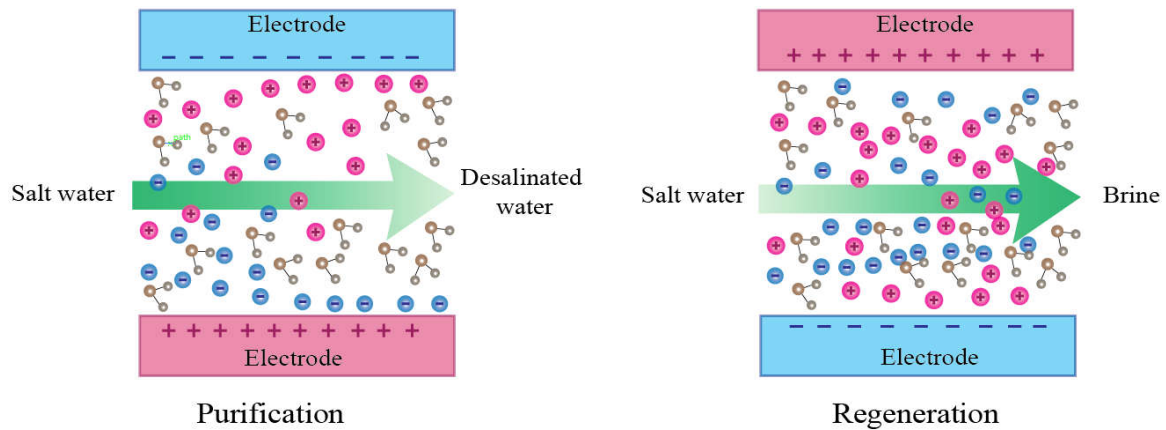


Fig. 8. Purification and regeneration steps in the CDI process (adapted from [64]).

462
463

464 CDI has emerged as a promising process for low carbon desalination applications. The
 465 CDI desalination process is operated at a limited electrical voltage (i.e. $< 2V$) and a low
 466 hydrostatic pressure [64, 65, 67]. It does not require high pressure pumps and costly tubing
 467 materials (i.e. stainless steel) like in the RO desalination process. The mild operation
 468 conditions also render the CDI desalination process significantly less prone to fouling, thus
 469 obviating the need for intensive feed water pre-treatment and regular membrane cleaning as
 470 required by the RO process [64, 68]. The low-voltage operation also facilitates the coupling of
 471 CDI desalination with renewable energy sources (e.g. solar and wind energy) [67, 69]. More
 472 importantly, a large portion of the energy used for charging the electrodes during the
 473 purification step can be recovered in the electrode regeneration step [70, 71], thus
 474 significantly reducing the total energy demand and hence the carbon footprint of the CDI
 475 desalination process.

476 Like in ED, the desalination efficiency and energy consumption of the CDI process
 477 strongly depend on the process operating conditions, particularly the feed water salinity [64].
 478 Increasing feed salinity results in an increase in the adsorption rate of ions to the electrodes
 479 but a reduction in the ions removal efficiency of the CDI cell. To achieve a desired effluent
 480 salinity, a longer adsorption interval or a higher electric current is required for more
 481 concentrated feed water, thus increasing the specific energy consumption of the CDI process.
 482 Indeed, Porada et al. [72] compared the specific energy consumption of the CDI and RO
 483 process and confirmed that CDI was only competitive to RO with respect to energy
 484 consumption for feed water with salinity approximately below 2000 ppm, which is the salinity

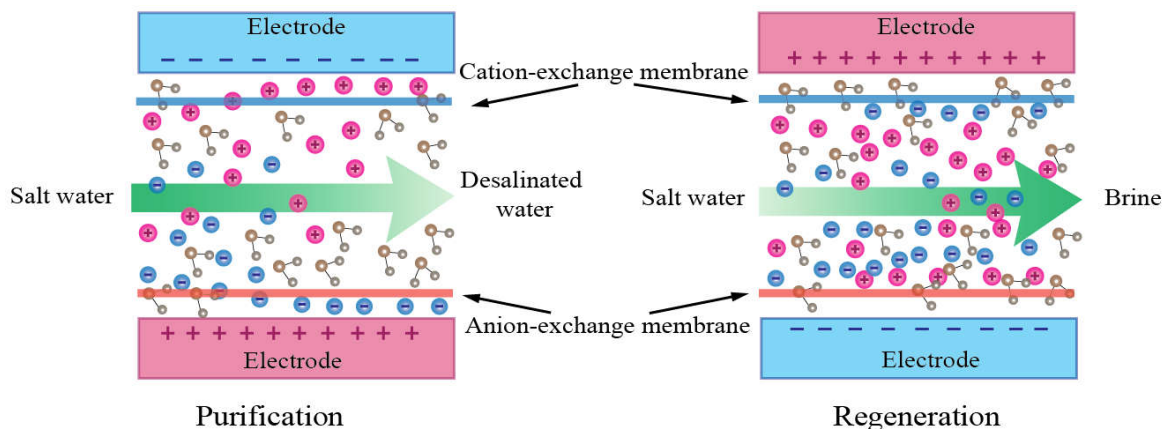
485 of brackish water. Thus, similarly to ED, the CDI process is considered best suited for the
486 desalination applications of brackish water [64, 67, 72].

487 The electrodes exert profound influences on the desalination efficiency and the energy
488 consumption of the CDI process. The CDI desalination mechanism is governed by
489 electrostatic adsorption of ions to the electrodes when they are in direct contact with salt water,
490 and electrostatic adsorption is the driving force for the transfer of ions. As a result,
491 electrostatic adsorption is the limiting factor of the CDI desalination process [64, 73, 74]. Key
492 properties of the CDI electrodes include specific surface area, median pore diameter, total
493 pore volume, resistance, and particularly specific capacitance. The specific capacitance,
494 measured in F/g, is the amount of electrical charges (in coulomb) that can be stored by one
495 mass unit of the electrode material under an electric potential of 1 volt. Thus, it is an indicator
496 of the electrostatic adsorption capacity of the electrode.

497 Considerable efforts have been devoted to exploring suitable electrodes for improved ions
498 separation and energy efficiency of the CDI process. The most commonly used CDI
499 electrodes are prepared from activated carbons with poly vinylidene fluoride used as a binder.
500 Given the high porosity and rich carbon content of activated carbons, the activate carbon
501 electrodes possess excellent specific surface areas (i.e. above 2000 m²/g), micro-pore structure
502 with pore sizes ranging from 1.0 to 2.5 nm and a total pore volume of 0.57 to 1.63 cm³/g, and
503 specific capacitance of 60 to 125 F/g [75]. The hydrophobic nature of activated carbons is a
504 drawback of activated carbon electrodes. It repels water solution from the activated carbon
505 electrodes and hinders the direct contact between the electrodes and the solution, thus
506 negatively affecting the adsorption capacity of the electrodes [64]. Novel materials such as
507 carbide derived carbons, carbon aerogel, carbon nanotubes (CNTs) and carbon nanofibers
508 (CNFs), graphene, and mesoporous carbons have also been proposed and demonstrated for the
509 CDI desalination process. Porada et al. [72, 76] reported an adsorption capacity increase by 28
510 – 44% for the electrodes prepared from carbide derived carbons compared to those prepared
511 by activated carbons. The increased adsorption capacity of the carbide derived carbons
512 electrodes was attributed to the super specific surface area and the pore size tunability in the
513 sub-nanometer range of the carbide derived carbons material [76]. Similarly, electrodes
514 prepared from carbon aerogel exhibited high specific surface area, controllable pore size
515 distribution, and superior electrical properties; therefore, they were selected for many CDI
516 desalination processes [77]. Nano carbon materials such as CNTs, CNFs, and graphene have

517 recently emerged as promising materials for CDI electrodes. Given their nano-structures,
518 electrodes prepared from CNTs, CNFs, and graphene have specific surface areas considerably
519 higher than those offered by the activated carbons electrodes. CNTs, CNFs, and graphene also
520 exhibit superior conductivity to activated carbons [78-80]. Thus, the advancement in CNTs,
521 CNF, and graphene materials promises to improve the ions separation and energy efficiency
522 of the CDI desalination process.

523 Process modification is an alternative approach to improving desalination and energy
524 efficiency of the CDI process. Indeed, the CDI process suffers a serious problem during the
525 regeneration of the electrodes [64]. When the polarity of the electrodes is reversed to desorb
526 the charged ions that have been adsorbed during the purification step, the oppositely charged
527 ions from the bulk solution are attracted and adsorbed to the electrodes (Fig. 8). Thus, the
528 electrode regeneration involves simultaneous desorption and adsorption of charged ions from
529 and to the electrodes, reducing the adsorption capacity of the electrodes in the subsequent
530 purification step and hence negatively affecting the desalination and energy efficiency of the
531 CDI process. To address this issue, ion-exchange membranes are introduced to the CDI cells
532 (Fig. 9). Like in the ED process, ion-exchange membranes selectively allow the permeation of
533 cations or anions; therefore, the adsorption of the oppositely charged ions during the electrode
534 regeneration step is effectively prevented (Fig. 9). Given the usage of ion-exchange
535 membranes, the modified CDI process is termed membrane capacitive deionisation (MCDI).
536 Experimental demonstrations of the MCDI process have confirmed that MCDI is clearly
537 preferable to CDI regarding the process salt removal and energy recovery [73, 74, 81, 82].
538 Indeed, depending on the process operating conditions, the MCDI process can achieve a salt
539 removal and energy recovery of 49% and 34%, respectively, higher than that of the CDI
540 process [70, 83].



541
542 **Fig. 9.** Purification and regeneration steps in the MCDI process (adapted from [64]).

543 7. Conclusions

544 As a mature desalination process, RO is deemed a benchmark for other emerging
 545 membrane-based desalination processes. The energy consumption of seawater RO has been
 546 remarkably reduced given enormous advances in membrane materials and energy recovery
 547 devices. The exploration of ultra-permeable membranes using innovative materials such as
 548 Aquaporin, carbon nanotubes, and graphene promises to further reduce the energy
 549 consumption of the RO desalination process. Particularly, RO desalination energy
 550 consumption can approach the minimum desalination energy demand by multi-staging the
 551 process but with an increase in investment and operational costs. As an osmotically driven
 552 separation methods, FO can be a favourable low carbon desalination process when it is used
 553 as a standalone process whereby the regeneration of FO draw solutions is obviated. [The ED](#)
 554 [and CDI processes offer energy-efficient and low carbon desalination means; nevertheless,](#)
 555 [they are only effective and competitive to RO for desalination of saline waters with low](#)
 556 [salinity \(i.e. brackish water\).](#) In addition, further intensive works are required on improvement
 557 of ion-exchange membranes and electrodes and process optimisation prior to the commercial
 558 realisation of ED and CDI for low carbon desalination applications. Finally, the emerging
 559 thermally driven MD process currently exhibits energy consumption higher than that of RO
 560 and FO; however, MD can be coupled with waste heat and solar thermal energy and
 561 compatible with hyper saline solutions that are beyond the limits of RO and FO. MD can be
 562 deployed as a complementary process for RO and FO or as standalone process exploiting low-
 563 grade heat sources. Thus, MD can be the most promising energy-saving alternative to RO for
 564 low carbon desalination.

565 **Conflict of interest statement**

566 On behalf of all authors, the corresponding author states that there is no conflict of interest.

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