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Band gap and electronic properties of molybdenum disulphide under strain engineering: density functional theory calculations

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ABSTRACT

In this paper, we study the effect of uniaxial and biaxial strain on the structural and electronic properties of MoS₂ monolayers by first-principle calculations based on density functional theory. Our calculations show that the bond length between Mo and S atoms $d_{\text{Mo-S}}$ depends linearly on the strain. At the equilibrium state, MoS₂ has a direct band gap of 1.72 eV opening at the K-point. However, an indirect-direct band gap transition has been found in MoS₂ monolayer when the strain is introduced. MoS₂ becomes a semiconductor with an indirect band gap when the uniaxial strain $\varepsilon_x \geq 1\%$ or the biaxial strain $\varepsilon_{xy} \geq 1\%$. Under biaxial strain, a metal-semiconductor transition occurs at 18% of elongation. The indirect character and phase transition will largely constrain application of MoS₂ monolayer to electronic and optical devices.

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1. Introduction

Molybdenum disulphide (MoS₂) is currently one of most interesting materials for applications in nano-electronic devices due to its unique mechanical, electronic and optical properties.[1,2] It is a single atomic layer, which is similar to graphite. It is well known that the van der Waals (vdW) interaction between MoS₂ layers is weak, therefore we can easily obtain the monolayer MoS₂. It is a typical example of layered transition-metal di-chalcogenides family, which has been both theoretically and experimentally studied in recent years.[3,4] Together with the large energy band gap, the high carrier mobility [5,6] makes MoS₂ well suited for applications in transistors,[7] photo-detectors,[8] and memory devices.[9]

Bulk MoS₂ is known as semiconductor with indirect band gap of 1.23 eV.[10] While the bulk material has an indirect gap and the monolayer MoS₂ is a direct semiconductor with band gap of 1.80 eV [10] opening at the K point. Monolayer MoS₂ has been successfully fabricated through different methods.[11–14]

Electronic properties of MoS₂ are very sensitive to structural perfection [15] and they can be controlled and tuned by chemical treatment, nanopatterning, functionalisation, nanocatalyst [16] through adatom adsorption, applied external electric field or strain engineering.[17–22] In recent years, many works have been focused on the strain engineering of MoS₂ from both the experimental [10,23] and theoretical [24–26] to explore the effect of strain in-plane on physical properties of MoS₂. [1,2] Besides, effect of strain (out-of-plane direction) on electronic properties of MoS₂ monolayer has been both theoretically and experimentally studied by Peña-Álvarez et al. [27].

In present work, with the use of density functional theory (DFT) computations, we systematically investigated effect of uniaxial and biaxial strain engineering on the band gaps and

electronic properties of monolayer MoS₂. The uniaxial and biaxial strain is located in-plane (xy plane) in our all calculations. The direct-to-indirect band gaps and semiconductor-to-metal transition are observed in monolayer MoS₂ when strain applied in our calculation. Our calculations show that the band gaps of monolayer MoS₂ can be reduced to zero when the biaxial strain was introduced. Our computational results provide many useful ways for applications of monolayer MoS₂ in nanoelectromechanical and optoelectronic devices.

2. Computational method and model

In this work, we calculate the structural and electronic properties of the monolayer MoS₂ by DFT using accurate frozen-core full-potential projector augmented-wave pseudopotentials,[28, 29] as implemented in the Quantum Espresso code.[30] We use the generalised gradient approximation (GGA) with the parametrisation of Perdew-Burke-Ernzerhof (PBE). This approach has been successful in investigating nanosystems based on graphene.[31] The electronic wavefunctions were described by plane-wave basis sets with a kinetic energy cut-off of 30 Ry, and the energy cut-off for the charge density was set to 300 Ry. The structure is fully relaxed with an energy convergence of 10^{-6} eV and a force convergence of 0.01 eV/Å. The Brillouin-zone integration is carried out by a $18 \times 18 \times 1$ k-mesh according to the Monkhorst-Pack scheme. For the different layers of the MoS₂, the supercells are constructed with a vacuum space of 20 Å along the z -direction. The strain is simulated by setting the lattice parameter to a fixed larger value and relaxing the atomic positions. The magnitude of strain is defined as: $\varepsilon = (a - a_0)/a_0$, where a_0 and a are the lattice parameters of the unstrained and strained systems, respectively.

The hexagonal structure of MoS₂ bulk with the lattice parameters $a = 3.16 \text{ \AA}$, and c/a ratio of 3.89 was taken as starting point for the geometry relaxation. After the relaxation, our calculations show that the lattice parameter for the bulk MoS₂ is 3.18 \AA , and c/a ratio is 3.85. The calculated energy band gap for the optimised structure of bulk MoS₂ is 1.22 eV. This result is in good agreement with the experimental value (1.23 eV).[10] We then built structures of MoS₂ monolayer, starting with the lattice parameters of the bulk relaxed MoS₂.

3. Results and discussion

To study the effect of strain on the band gap and the electronic properties of graphene-like MoS₂ monolayer, the geometry of monolayer MoS₂ is relaxed and optimised by dispersion-corrected density functional theory (DFT-D2). The lattice constant a_0 of MoS₂ monolayer after relaxation is 3.18 \AA , which is in good agreement with 3.20 \AA using GGA method,[32] and also finds very good agreement with experimental value of 3.16 \AA . [33] The bond distance between Mo and S atoms is $d_{\text{Mo-S}} = 2.42 \text{ \AA}$, which is in good agreement with GGA calculations (2.42 \AA), [34] and experimental studies (2.41 \AA). [33] The relaxed bond angle S–Mo–S in MoS₂ monolayer is $\theta = 81.04^\circ$. Available data for bond angle by Kumar and Ahluwalia [35] is 81.73° (80.88°) using LDA (GGA).

Using DFT-D2 calculations, we show that the band gap of MoS₂ monolayer is 1.72 eV which is very close to recent DFT calculations,[24,36–39] but smaller than that measured using complementary techniques of optical absorption, photoluminescence and photoconductivity (1.80 eV) of monolayer thick MoS₂. [10] The band gap problem can be addressed more accurately by the GW approximation [32,34] which has not been carried out in this paper. However, this trend is not generalized, and depends on the material considered. For example, based on the many-body GW method, Actaca et al. [32,34] showed that the band gap is higher than 2.78 eV. Therefore, we believe that our choice of the dispersion-corrected DFT for the MoS₂ structure is appropriate, and a new idea of the trends can be obtained from our results.

The strained cell is modelled by stretching of hexagonal ring in the x - or xy -directions. The top and side views of the generalised structure of semiconducting MoS₂ monolayer with hexagonal symmetry and its strain directions are illustrated in Figure 1. Strain engineering is applied in two different ways: uniaxial expansion of monolayer in the x direction, and biaxial expansion in the xy direction. The components of strain along x and xy directions are noted as ε_x and ε_{xy} , respectively. The strains are evaluated as the lattice stretching percentage. A wide range of strain along (up to 18%) both directions has been employed in the present study.

Figure 2(a) shows the total energy of semiconducting MoS₂ monolayer as function of strain engineering ε_x and ε_{xy} . The effect of the uniaxial and biaxial strain on the bond length $d_{\text{Mo-S}}$ is also shown in Figure 2(b),(c). At the equilibrium (unstrained), the total energy of the semiconducting MoS₂ monolayer is minimal. The linear dependence of the total energy on uniaxial strain is described by a parabolic shape. Figure 2(a) also shows that the total energy of MoS₂ monolayer under strain along the xy direction is higher than that along the x direction. When

the strain along the xy direction is applied, the semiconducting MoS₂ monolayer turns out to be less stable than under the strain along the x direction.

In the each layer of MoS₂, we denote the bond length between Mo atom and three nearest neighbour S atoms (S1, S2, and S3) by d_1 , d_2 and d_3 , respectively. Our calculations show that, at the equilibrium state, these bond lengths are $d_1 = d_2 = d_3 = 2.42 \text{ \AA}$. This values coincide with results of previous theoretical [34,35,40–42] and experimental [33] studies. As shown in Figure 2(b), the bond lengths d_1 along the x direction (d_1^x) do not depend on the strain, but other quantities depend strongly on the uniaxial strain, especially d_2^x and d_3^x .

Dependence of the band gap of MoS₂ monolayer on bond length d_1 and bond angle S–Mo–S (θ) under applied strain is illustrated in Figure 3. One can show that, the closure of S–Mo–S angle is associated with the metallisation of this compound. This result is in good agreement with previous study.[27] Figure 4 shows the dependence of the band gap of MoS₂ monolayer on strain engineering along x and xy directions. At the equilibrium state, MoS₂ monolayer is a semiconductor. A direct band gap of 1.72 eV opens at the K-point as shown in Figure 4(a). Our result is in good agreement with previous calculations.[24,36–39]

In Figure 4(a), we can see that the difference in energy between the highest subband of the valence band at K-point (highest energy) and Γ -point, $\Delta E_{\text{HVB}}^{\text{K}\Gamma}$, is negligible. The $\Delta E_{\text{HVB}}^{\text{K}\Gamma}$ is only 0.04 eV. This difference in energy will easily lead to a transformation from a direct to an indirect semiconductor in monolayer MoS₂.

We now apply uniaxial along the x direction in monolayer MoS₂. Let us focus on the highest subband in the valence band of MoS₂. Our calculations show that the $\Delta E_{\text{HVB}}^{\text{K}\Gamma} = E_{\text{HVB}}^{\text{K}} - E_{\text{HVB}}^{\Gamma}$ is equal to -0.8 meV at $\varepsilon_x = 1\%$, where $E_{\text{HVB}}^{\text{K}}$ and E_{HVB}^{Γ} are the energy at the K point and Γ point in the highest subband of the valence band. It means that the MoS₂ monolayer becomes an indirect semiconductor when $\varepsilon_x \geq 1\%$ and the highest energy of the valence band is in the Γ point of the reciprocal lattice. This energy is strongly dependent on uniaxial strain as shown in Figure 4. Note that the bottom of the conduction band is always located at the K point. The dependence of the indirect band gap of MoS₂ on the ε_x is shown in Figure 4(f) and the transformation from direct to indirect semiconductor of MoS₂ as a result of uniaxial strain is shown in Figure 5.

Figure 4 shows the electronic band gap of MoS₂ monolayer versus the Mo-S distance d_1 and S–Mo–S angle θ .

Similarly, our calculations show that MoS₂ becomes a semiconductor with an indirect band gap when the biaxial strain $\varepsilon_{xy} \geq 1\%$ is introduced. Both under uniaxial strain ε_x and ε_{xy} , the band gap is decreasing in elongation variable. The dependence of the band gap on the strain can be described as a part of a hyperbola as shown in Figure 4(f). This behaviour should be attributed to the change in the atomic orbital composition of the associated crystal wavefunctions at VBM and CBM under various strain values. Investigating the details of these changes in the orbital composition of crystal wavefunctions requires further study and is beyond the scope of the current work. In the case of biaxial strain, the band gap vanishes at $\varepsilon_{xy} = 18\%$. The metal–semiconductor phase transition occurs in MoS₂ due

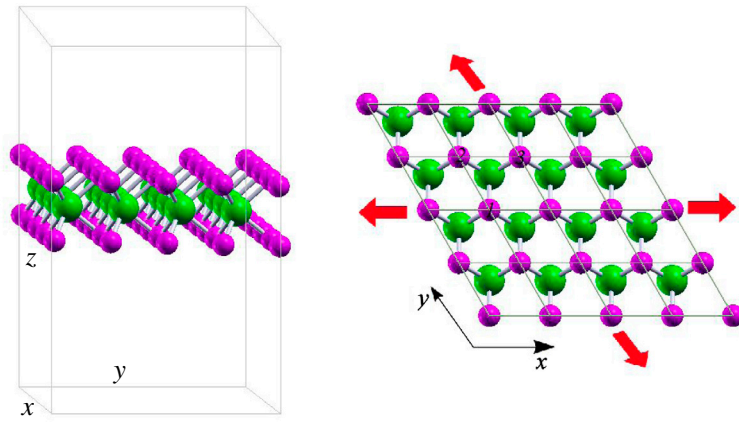


Figure 1. (Colour online) The side view and top view of the graphene-like MoS₂ monolayer. The purple and green stand for the S- and Mo-atoms, respectively.

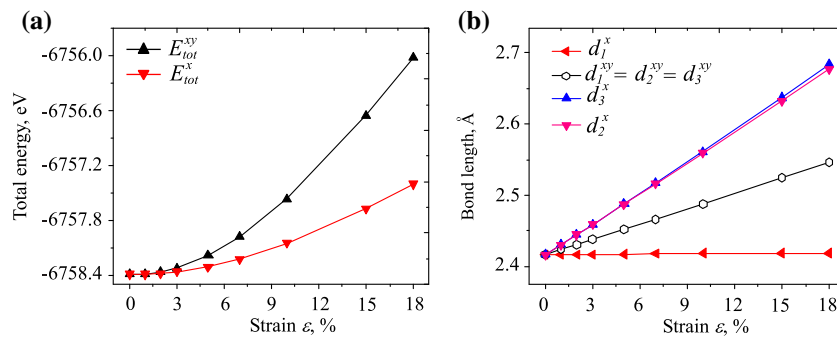


Figure 2. (Colour online) The total energy (a) and bond length (b) as a function of uniaxial and biaxial tensile strain along x - and xy directions.

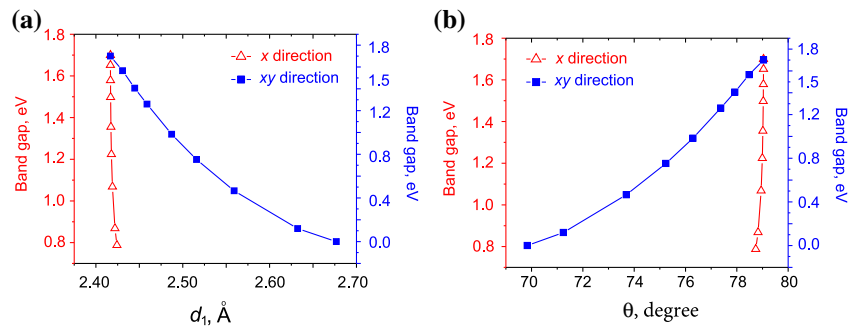


Figure 3. (Colour online) The band gap of MoS₂ monolayer as a function of bond length S–Mo (d_1) (a) and bond angle S–Mo–S (θ) (b). The red vertical axis (left) and blue vertical axis (right) refer to the band gap of MoS₂ under uniaxial in-plane strain along the x -direction, and on biaxial in-plane strain along the xy -direction, respectively.

to the biaxial strain. The MoS₂ under biaxial strain ϵ_{xy} is an interesting case. It is a semiconductor with a direct band gap when $0 < \epsilon_{xy} < 1\%$ and becomes a semiconductor with an indirect band gap when $1\% < \epsilon_{xy} < 18\%$. Especially, when $\epsilon_{xy} > 18\%$, the MoS₂ monolayer is metallic. These results are interesting. Not only the band gap of monolayer MoS₂ can be tuned by strain engineering, but also the direct–indirect and semiconductor–metal transitions are tuned. In addition, we also calculate the band gap of MoS₂ monolayer under compressive strain. Our calculations show that, the band gap of MoS₂ monolayer decreases when the compressive strain changes from -5% to -10% . The indirect to direct band gap is also observed at the compressive strain of -1% . The band gap of MoS₂ monolayer

under biaxial compressive strain of -1% , -5% , and -10% is 1.76 eV, 1.66 eV, and 1.42 eV, respectively. While, the band gap of MoS₂ monolayer under uniaxial compressive strain of -1% , -5% , and -10% is 1.73 eV, 1.68 eV, and 1.58 eV, respectively.

Figure 5 shows the partial density of states (PDOS) of Mo- d , S- p and S- s orbitals at the equilibrium state and under strain. We can see that at the equilibrium Figure 5(a), the bottom of the conduction band is mainly contributed to by Mo- d orbitals, while the top of the valence band is contributed to by Mo- d and S- p orbitals. Mo- d and S- p orbitals are together hybridised at the top of the valence band. It means that a strong bonding exists between Mo and S atoms. At the equilibrium, the PDOS of Mo4 d and S3 p are almost the same in the energy range

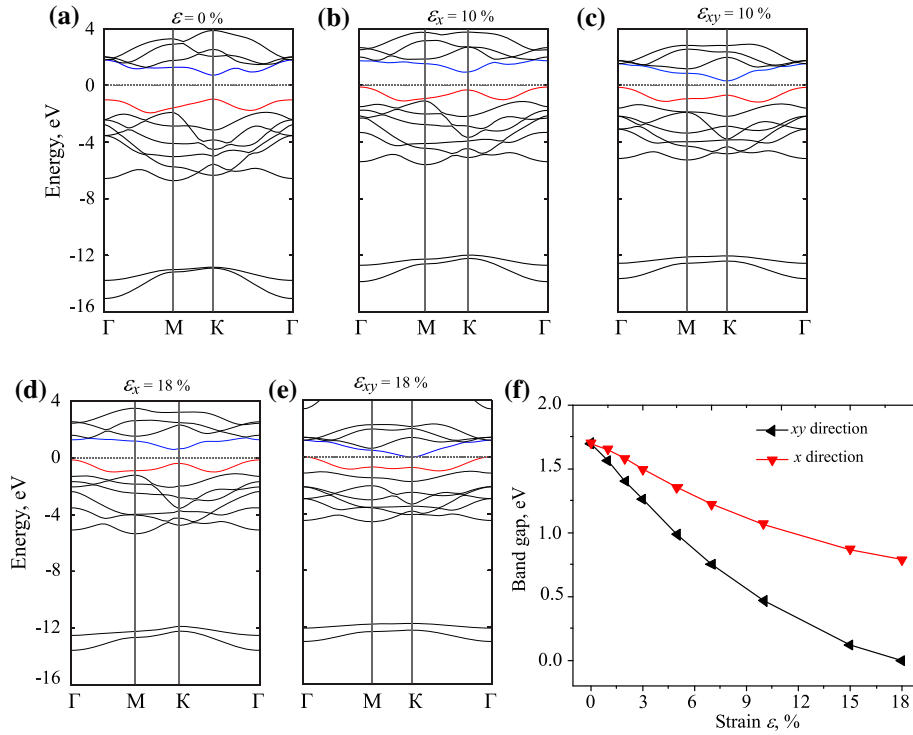


Figure 4. (Colour online) Electronic band structure of MoS₂ monolayer at the equilibrium state (a) and under strain (b, c, d, e). (f) Dependence of band gap of MoS₂ monolayer on strain. The blue and red lines in the band structure refer to the lowest subband of the conduction band and the highest subband of the valence band, respectively.

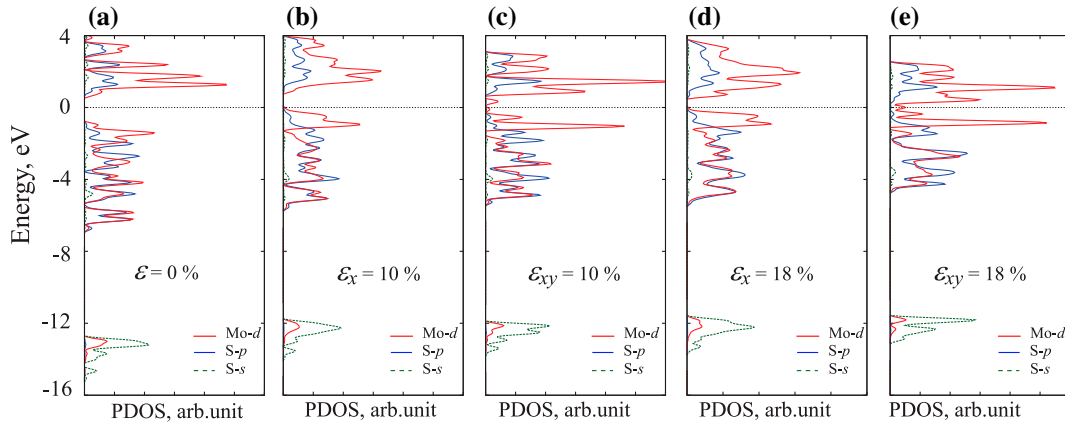


Figure 5. (Colour online) The projected density of states of Mo-*d*, S-*p* and S-*s* orbitals at the equilibrium state (a) and under strain (b)–(e). The horizontal dashed lines refer to the Fermi level.

from -6 to -1 eV. The Mo4*d* and S3*p* orbitals share paired electrons. The chemical bonds between Mo atom and six nearest neighbour S atoms in monolayer MoS₂ are covalent bonds. In spite of covalent bonds between S and Mo atoms, charge offset occurs because of difference in electronegativity. This difference leads the change in the electronic orbitals of Mo and S atoms. The mirror symmetrical bonding configuration in the tri-layer S–Mo–S system results in a weak π bond-like interaction. The weak interaction is very sensitive to the strain, resulting in the changed band structure under strain.

Figure 5 illustrates the projected density states (PDOS) of Mo-*d*, S-*p* and S-*s* orbitals at different strain strengths. We can

see that in the range from -1 to 0 eV (the Fermi level), the PDOS depends dramatically on the uniaxial strain (see Figure 5(b),(c)). In Figure 5(e) we show the PDOS of Mo-*d*, S-*p* and S-*s* orbitals at $\epsilon_{xy} = 18\%$. It is clear that at $\epsilon_{xy} = 18\%$ MoS₂ is metallic. The indirect character and the strongly dependence of band gap on strain in monolayer MoS₂ can open many ways for applications of MoS₂ in electronic and optical devices.[43]

The PDOS of Mo4*d* orbitals changes more significant than that of S3*p* orbital in both cases of uniaxial and biaxial strain. We can see that in the range from -2 to 0 eV (the Fermi level), at 18% of the biaxial strain, the PDOS of Mo4*d* and S3*p* are close to zero, resulting in a semiconductor–metal transition in

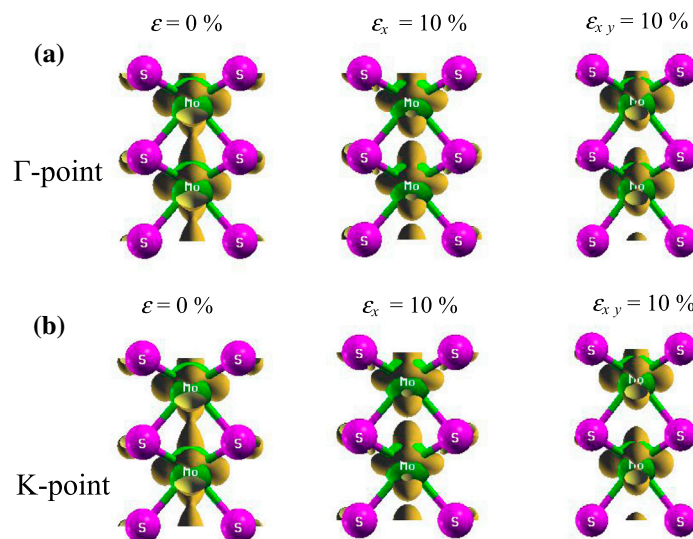


Figure 6. (Colour online) Isosurface plot of the charge density (n) of monolayer MoS₂ (side view) corresponding to the valence band maximum under strain at the Γ - and K-point. The isosurface value was taken as 0.005 eV/Å.

monolayer MoS₂. In Figure 6, we show the isosurfaces of the valence band maximum at the Γ - and K-points with unstrained and strained states. It shows that the valence band maximum at Γ - and K-point is mainly of d_z^2 and p_z orbitals for Mo and S atoms, respectively. In comparison to the unstrained case, when the biaxial strain has been introduced, the isosurface of the valence band maximum changes more significantly than in the case of the uniaxial strain. This is the reason leading to the semiconductor–metal transition at $\epsilon_{xy} = 18\%$. The indirect nature also can be seen in Figure 5. It shows that the CBM is still at the K point, while the VBM is moved to the Γ point. Hence, the value degeneracy for both VBM and CBM is equal to two in a relaxed structure, whereas the VBM is singly degenerate.

4. Conclusion

In this paper, we investigated the structural properties and electronic states of monolayer MoS₂ under uniaxial/biaxial strain using DFT. We have seen that the mechanical strains reduce the band gap of semiconducting monolayer MoS₂ causing a direct-to-indirect band gap and a semi-conductor-to-metal transition. Our calculations demonstrated that the semiconductor–metal transition occurs in monolayer MoS₂ under the biaxial strain of $\epsilon_{xy} = 18\%$. The appearance of a phase transition in the monolayer MoS₂ opens many ways for applications in nano-electromechanical devices based on monolayer MoS₂.

Disclosure statement

No potential conflict of interest was reported by the authors.

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